

THERMAGE INC

Form 3

January 10, 2008

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Hu Cherry Tze-Yuan

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

01/03/2008

3. Issuer Name **and** Ticker or Trading Symbol
THERMAGE INC [THRM]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other
(give title below) (specify below)

VP, Corporate Controller

6. Individual or Joint/Group
Filing(Check Applicable Line)☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting PersonC/O THERMAGE, 25881
INDUSTRIAL BLVD.

(Street)

HAYWARD, CA 94545

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

5,036 ⁽¹⁾

D

A

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	Â (2)	08/02/2016	Common Stock	60,000	\$ 11	D	Â
Employee Stock Option (right to buy)	Â (3)	12/06/2016	Common Stock	8,393	\$ 8.15	D	Â
Employee Stock Option (right to buy)	Â (4)	02/28/2017	Common Stock	10,000	\$ 8.7	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hu Cherry Tze-Yuan C/O THERMAGE 25881 INDUSTRIAL BLVD. HAYWARD, CA 94545	Â	Â	Â VP, Corporate Controller	Â

Signatures

/s/ Cherry
Tse-Yuan Hu

01/10/2008

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Includes 2,239 shares acquired under the company's stock purchase plan on May 15, 2007 and 2,149 shares acquired under the company's
- (1) stock purchase plan on November 15, 2007. Also includes restricted stock unit grant of 1,035 shares, of which 387 shares were traded for taxes upon vest.
 - (2) This option vests and the shares become exercisable according to the following schedule: 1/4 on August 2, 2006 and 1/48 on the last day of each full calendar month thereafter.
 - (3) This option vests and the shares become exercisable according to the following schedule: 1/4 on December 6, 2006 and 1/48 on the last day of each full calendar month thereafter.
 - (4) This option vests and the shares become exercisable according to the following schedule: 1/48 on January 31, 2007 and 1/48 on the last day of each full calendar month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.