Edgar Filing: PROKOPANKO JAMES T - Form 4

PROKOPANI	KO JAMES T										
Form 4											
October 08, 20	009										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE								OMB APPROV			
	UNITED	Washington, D.C. 20549									
Check this if no longe subject to Section 16 Form 4 or Form 5	r STATE	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Expires: January 31 2005 Estimated average burden hours per response 0.5	
obligations may contir <i>See</i> Instruc 1(b).	nue. 20(h) of the Investment Company Act of 1955 of Section							1			
(Print or Type Re	esponses)										
			Symbol	Name and C CO [M		Tradi	ng	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of	Earliest Tr	ansaction			(Check all applicable)			
C/O THE MOSAIC COMPANY, 3033 CAMPUS DRIVE, SUITE E490			(Month/Day/Year) 10/06/2009					X Director 10% Owner X Officer (give title Other (specify below) President and CEO			
	(Street)	Street) 4. If Amendment, Date (Filed(Month/Day/Year)			-	Applicable Line)			oint/Group Filing(Check One Reporting Person		
PLYMOUTH	I, MN 55441							Form filed by M Person	lore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/Year)		n Date, if	Code (Instr. 3, 4 and 5)				Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common				Code V	Amount	(D)	Price	(msu. 5 anu 4)			
Common Stock	10/06/2009			М	6,174	А	\$0	53,106	D		
Common Stock	10/06/2009			F <u>(7)</u>	2,637	D	\$ 47.89	50,469	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	onof D Secu Acqu (A) o Disp (D)	urities uired or osed of r. 3, 4,				7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares	
Stock Option (right to buy)	\$ 15.45						(8)	08/04/2016	Common Stock	181,388	
Restricted Stock Units	\$ 0 <u>(1)</u>	10/06/2009		М		6,174	10/06/2009	(2)	Common Stock	6,174	
Stock Option (Right to Buy)	\$ 20.7						(3)	02/01/2017	Common Stock	53,957	
Restricted Stock Units	\$ 0 <u>(1)</u>						02/01/2010	(2)	Common Stock	21,739	
Stock Option (Right to Buy)	\$ 40.03						<u>(4)</u>	08/02/2017	Common Stock	83,433	
Restricted Stock Units	\$ 0 <u>(1)</u>						08/02/2010	(2)	Common Stock	34,974	
Stock Option (Right to Buy)	\$ 127.21						(5)	07/31/2018	Common Stock	23,409	
Resticted Stock Units	\$ 0 <u>(1)</u>						07/31/2011	(2)	Common Stock	12,578	
Stock Option (Right to Buy)	\$ 52.72						<u>(6)</u>	07/27/2019	Common Stock	48,077	
	\$ 0 <u>(1)</u>						07/27/2012	(2)		45,524	

Restricted Stock Units

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
PROKOPANKO JAMES T C/O THE MOSAIC COMPANY 3033 CAMPUS DRIVE, SUITE E490 PLYMOUTH, MN 55441	Х		President and CEO					
Signatures								
s/Richard L. Mack, Attorney-in-Fact fo Prokopanko	r James T		10/07/2009					
**Signature of Reporting Person	n		Date					
Evolution of Respor	1606.							

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One-for-One
- (2) Not Applicable
- (3) Grant Date 02/01/2007; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (4) Grant Date 08/02/2007; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (5) Grant Date 07/31/2008; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (6) Grant Date 07/27/2009; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (7) Shares sold to cover taxes payable by reporting person as a result of the vesting of 3,470 restricted stock units on August 1, 2008.
- (8) This Stock Option is 100% exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.