Edgar Filing: Kaplan Mark Eric - Form 4

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| Form 4 July 23, 2012 | Eric | | | | | | | | | | | |
|--|-----------------------------------|---|--|--|------------------------|---------------------------------------|---------|--|--|---|--|--|
| FORM | 4 UNITE | Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | OMB APPROVAL OMB 3235-0287 Number: | | |
| Check this if no longer subject to Section 16. Form 4 or Form 5 obligations may contin <i>See</i> Instruc 1(b). | Filed p Section 1 | | | | | | | | | January 31, pires: 2005 timated average rden hours per sponse 0.5 | | |
| (Print or Type Re | sponses) | | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Kaplan Mark Eric | | | 2. Issuer Name and Ticker or Trading Symbol MOSAIC CO [MOS] | | | | g | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| (| | | 3. Date of Earliest Transaction (Month/Day/Year) 07/19/2012 | | | | | Director 10% Owner XOfficer (give title Other (specify below) below) VP - Public Affairs | | | | |
| | | | | Amendment, Date Original (Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| PLYMOUTH | I, MN 55441 | | | | | | | Form filed by I Person | More than One R | eporting | | |
| (City) | (State) | (Zip) | Table | e I - Non-Do | erivative S | ecuri | ties Ac | quired, Disposed o | f, or Beneficia | lly Owned | | |
| | 2. Transaction I (Month/Day/Ye | ear) Execution any | emed on Date, if 'Day/Year) | 3. Transactic Code (Instr. 8) Code V | Disposed (Instr. 3, | (A) of of (D 4 and (A) or |) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common Stock | | | | | | | | 1,213 | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | Code | Transaction of Derivative | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|---|---|---|---|--------|---------------------------|------|--|---------------------|---|-----------------|--|
| | | | | Code V | 7 (| (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) | \$ 127.21 | | | | | | | <u>(1)</u> | 07/31/2018 | Common Stock | 1,646 |
| Stock Option (Right to Buy) | \$ 52.72 | | | | | | | (2) | 07/27/2019 | Common Stock | 3,125 |
| Restricted Stock Units | \$ 0 <u>(3)</u> | | | | | | | 07/27/2012 | <u>(4)</u> | Common Stock | 1,062 |
| Stock Option (Right to Buy) | \$ 44.93 | | | | | | | (5) | 07/27/2020 | Common Stock | 3,545 |
| Restricted Stock Units | \$ 0 <u>(3)</u> | | | | | | | 07/27/2013 | (4) | Common Stock | 1,947 |
| Stock Option (Right to Buy) | \$ 70.62 | | | | | | | <u>(6)</u> | 07/21/2021 | Common Stock | 3,768 |
| Restricted Stock Units | \$ 0 <u>(3)</u> | | | | | | | 07/21/2014 | (4) | Common Stock | 1,652 |
| Stock Option (Right to Buy) | \$ 57.62 | 07/19/2012 | | А | 5, | ,099 | | <u>(7)</u> | 07/19/2022 | Common Stock | 5,099 |
| Restricted Stock Units | \$ 0 <u>(3)</u> | 07/19/2012 | | А | 2, | ,025 | | 07/19/2015 | (4) | Common Stock | 2,025 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|---------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Kaplan Mark Eric ATRIA CORPORATE CENTER, SUITE E490 3033 CAMPUS DRIVE PLYMOUTH, MN 55441 | | | VP - Public Affairs | | | | |
| Signatures | | | | | | | |
| /s/Richard L. Mack, Attorney-in-Fact for Mark E Kaplan | ·• | 07/23/2 | 2012 | | | | |
| ** Signature of Reporting Person | | Date | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Stock Option is 100% exercisable.
- (2) Grant Date 07/27/2009; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (3) One-for-One
- (4) Not Applicable
- (5) Grant Date 07/27/2010; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (6) Grant Date 07/21/2011; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (7) Grant Date 07/19/2012; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.