BRAUSEN ANTHONY T

Form 4 July 23, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB

Check this box if no longer

subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

2. Issuer Name and Ticker or Trading

3235-0287 Number: January 31, Expires:

2005

0.5

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * **BRAUSEN ANTHONY T**

Symbol MOSAIC CO [MOS] 5. Relationship of Reporting Person(s) to

Issuer

below)

(Last)

(City)

(First)

(Street)

(State)

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year) 07/19/2012

Director 10% Owner X_ Officer (give title Other (specify

(Check all applicable)

Senior VP -Finance

C/O THE MOSAIC COMPANY, 3033 CAMPUS DRIVE, SUITE E490

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

PLYMOUTH, MN 55441

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Owned Following (Instr. 4) Reported

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership Indirect (I) (Instr. 4)

(A) Transaction(s)

or (Instr. 3 and 4) Code V Amount (D) Price

Common

(Month/Day/Year)

5,707 D

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: BRAUSEN ANTHONY T - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8			6. Date Exerci Expiration Dat (Month/Day/Y	e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(1	A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 16.03								<u>(4)</u>	02/27/2016	Common Stock	7,800
Stock Option (Right to Buy)	\$ 15.45								<u>(4)</u>	08/04/2016	Common Stock	19,716
Stock Option (Right to Buy)	\$ 40.03								<u>(4)</u>	08/02/2017	Common Stock	11,323
Stock Option (Right to Buy)	\$ 127.21								<u>(4)</u>	07/31/2018	Common Stock	2,195
Stock Option (Right to Buy	\$ 52.72								(3)	07/27/2019	Common Stock	5,258
Restricted Stock Units	\$ 0 (1)								07/27/2012	<u>(2)</u>	Common Stock	3,319
Stock Option (Right to Buy)	\$ 44.93								<u>(5)</u>	07/27/2020	Common Stock	5,105
Restricted Stock Units	\$ 0 (1)								07/27/2013	(2)	Common Stock	4,986
Stock Option (Right to Buy)	\$ 70.62								<u>(6)</u>	07/21/2021	Common Stock	4,307

Edgar Filing: BRAUSEN ANTHONY T - Form 4

Restricted Stock Units	\$ 0 (1)				07/21/2014	(2)	Common Stock	1,888
Stock Option (Right to Buy)	\$ 57.62	07/19/2012	A	7,284	<u>(7)</u>	07/19/2022	Common Stock	7,284
Restricted Stock Units	\$ 0 (1)	07/19/2012	A	2,893	07/19/2015	(2)	Common Stock	2,893

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BRAUSEN ANTHONY T C/O THE MOSAIC COMPANY 3033 CAMPUS DRIVE, SUITE E490 PLYMOUTH, MN 55441

Senior VP -Finance

Signatures

/s/ Richard L. Mack, Attorney-in-Fact for Anthony T.

Brausen 07/23/2012

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One-for-One
- (2) Not Applicable
- (3) Grant Date 07/27/2009; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (4) This Stock Option is 100% exercisable.
- (5) Grant Date 07/27/2010; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (6) Grant Date 07/21/2011; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (7) Grant Date 07/19/2012; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3