Edgar Filing: MOSAIC CO - Form 4

MOSAIC CO)										
Form 4											
March 11, 20	14										
FORM	4							~ ~		PPROVAL	
	UNITED	STATE		ITIES Al hington, l			NGE (COMMISSION	OMB Number:	3235-0287	
Check this if no longe		S CHANGES IN BENEFICIAL OWNERSHIP (January 31, 2005			
subject to Section 16		IENI U	F CHAN	SECUR		CIAI		NEKSHIP OF		Estimated average burden hours per	
Form 4 or				520010					response	•	
Form 5	- -			• •			•	ge Act of 1934,			
obligation may conti	Nechon 171			•	U	• •		of 1935 or Sectio	n		
See Instru- 1(b).		30(h)) of the Inv	vestment (Company	/ Act	of 19	40			
(Print or Type R	esponses)										
Kaulan Mada Fala				Name and	Ticker or T	Fradin	g	5. Relationship of Reporting Person(s) to Issuer			
			Symbol MOSAIC CO [MOS]					(Check all applicable)			
(Last)	(First) (I	Middle)		3. Date of Earliest Transaction				(Check an applicable)			
	RPORATE CEN	TED		(Month/Day/Year) 03/07/2014				Director 10% Owner X_ Officer (give title Other (specify			
), 3033 CAMPU		05/07/20	/14				below)	below)		
DRIVE	,							VP-	Public Affairs	,	
	(Street)		4. If Amer	ndment, Dat	e Original			6. Individual or Jo	oint/Group Filin	ng(Check	
Filed(Month				• • •					plicable Line) [_ Form filed by One Reporting Person		
PLYMOUTH	H, MN 55441							Form filed by N Person			
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	ecuri	ties Ac	quired, Disposed of	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)) Executi any	on Date, if	3. Transactic Code	Disposed	(A) o of (D)	Securities Beneficially	6. Ownership Form: Direct (D) or	Indirect Beneficial	
		(Month	/Day/Year)	(Instr. 8)	(Instr. 3,	4 and	5)		Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
						(A)		Transaction(s)			
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock								3,222	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number or of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 127.21					<u>(1)</u>	07/31/2018	Common Stock	1,646
Stock Option (Right to Buy)	\$ 52.72					<u>(1)</u>	07/27/2019	Common Stock	3,125
Stock Option (Right to Buy)	\$ 44.93					(1)	07/27/2020	Common Stock	3,545
Stock Option (Right to Buy)	\$ 70.62					(4)	07/21/2021	Common Stock	3,768
Restricted Stock Units	\$ 0 <u>(2)</u>					07/21/2014	(3)	Common Stock	1,652
Stock Option (Right to Buy)	\$ 57.62					(5)	07/19/2022	Common Stock	5,099
Restricted Stock Units	\$ 0 <u>(2)</u>					07/19/2015	(3)	Common Stock	2,025
Stock Option (Right to Buy)	\$ 54.03					<u>(6)</u>	07/18/2023	Common Stock	5,524
Restricted Stock Units	\$ 0 <u>(2)</u>					07/18/2016	(3)	Common Stock	2,159
	\$ 49.73	03/07/2014		А	6,209	(7)	03/07/2024		6,209

Stock Option (Right to Buy)								Common Stock	
Restricted Stock Units	\$ 0 <u>(2)</u>	03/07/2014	A	A	2,346	03/07/2017	(3)	Common Stock	2,346

Reporting Owners

Reporting Owner Name / Address	Relationships						
I generation of the	Director	10% Owner	Officer	Other			
Kaplan Mark Eric ATRIA CORPORATE CENTER, SUITE E490 3033 CAMPUS DRIVE PLYMOUTH, MN 55441			VP - Public Affair	rs			
Signatures							
/s/Richard L. Mack, Attorney-in-Fact for Mark E Kaplan	03/11/2014						
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Stock Option is 100% exercisable.
- (2) One-for-One
- (3) Not Applicable
- (4) Grant Date 07/21/2011; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (5) Grant Date 07/19/2012; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (6) Grant Date 07/18/2013; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (7) Grant Date 03/07/2014; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.