

MAXLINEAR INC  
Form 4  
May 16, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**SEENDRIPU KISHORE**

(Last) (First) (Middle)  
  
2051 PALOMAR AIRPORT ROAD, SUITE 100  
  
(Street)

CARLSBAD, CA 92011

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**MAXLINEAR INC [MXL]**

3. Date of Earliest Transaction (Month/Day/Year)  
**05/15/2014**

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**President and CEO**

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)                         | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|--------------------------------------|--|--------------------------------|---|---|--|---|
| Class A Common Stock (par value \$0.0001)<br><u>(1)</u> | 05/15/2014                           |  | C <sup>(1)</sup>               | 12,500 A  | \$ 0 <sup>(1)</sup> 12,500  | I  | See footnote <u>(2)</u>                               |
| Class A Common Stock (par value \$0.0001)               | 05/15/2014                           |  | S <sup>(3)</sup>               | 12,500 D  | \$ 8.5556 <sup>(4)</sup> 0  | I  | See footnote <u>(2)</u>                               |
|   | 05/16/2014                           |  | C <sup>(1)</sup>               | 12,500 A  | \$ 0 <sup>(1)</sup> 12,500  | I  |   |

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|   |            |                         |        |   |                         |        |  |                               |                               |
|---|------------|-------------------------|--------|---|-------------------------|--------|--|-------------------------------|-------------------------------|
| Class A<br>Common<br>Stock (par<br>value<br>\$0.0001)<br><u>(1)</u> |            |                         |        |   |                         |        |  | See<br>footnote<br><u>(2)</u> |                               |
| Class A<br>Common<br>Stock (par<br>value<br>\$0.0001)               | 05/16/2014 | <u>S</u> <sup>(3)</sup> | 12,500 | D | \$<br><u>(5)</u> 8.5687 | 0      |  | I                             | See<br>footnote<br><u>(2)</u> |
| Class A<br>Common<br>Stock (par<br>value<br>\$0.0001)               |            |                         |        |   |                         | 91,967 |  | D                             |                               |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                                       | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Amount<br>or<br>Number<br>of Shares                |        |
|---|--|---|---|--------------------------------------|--|--|---|---|--------|
|   |  |   |   | Code                                 | V (A) (D)  | Date<br>Exercisable  | Expiration<br>Date  | Title   |        |
| Class B<br>Common<br>Stock<br>(convertible<br>into Class A<br>Common<br>Stock) <u>(1)</u> | <u>(6)</u>   | 05/15/2014                              |   | C                                    | 12,500   | <u>(6)</u>   | <u>(6)</u>  | Class A<br>Common<br>Stock<br>(par value<br>\$0.0001) | 12,500 |
| Class B<br>Common<br>Stock<br>(convertible<br>into Class A                                | <u>(6)</u>   | 05/16/2014                              |   | C                                    | 12,500   | <u>(6)</u>   | <u>(6)</u>  | Class A<br>Common<br>Stock<br>(par value<br>\$0.0001) | 12,500 |

Common  
Stock) <sup>(1)</sup>

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                      |       |
|---|---------------|-----------|----------------------|-------|
|   | Director      | 10% Owner | Officer              | Other |
| SEENDRIPU KISHORE<br>2051 PALOMAR AIRPORT ROAD<br>SUITE 100<br>CARLSBAD, CA 92011 | X             | X         | President<br>and CEO |       |

## Signatures

/s/ Adam Spice, as  
Attorney-in-Fact

05/16/2014

        \*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects shares of Class B Common Stock converted into an equivalent number of shares of Class A Common Stock pursuant to a power of attorney granted by the Reporting Person on September 6, 2013 in connection with the adoption of a Rule 10b5-1 trading plan.
- (2) Shares held directly by the Seendripu Family Trust dated 10/5/09, a trust for the benefit of the Reporting Person and the Reporting Person's spouse, for which the Reporting Person and the Reporting Person's spouse serve as trustees.
- (3) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on September 6, 2013.
- (4) Represents the weighted average share price of an aggregate total of 12,500 shares sold in the price range of \$8.40 to \$8.72 by the Reporting Person. The Reporting Person undertakes to provide upon request by the Commission staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (5) Represents the weighted average share price of an aggregate total of 12,500 shares sold in the price range of \$8.34 to \$8.72 by the Reporting Person. The Reporting Person undertakes to provide upon request by the Commission staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (6) Each share of Class B Common Stock is convertible into one share of Class A Common Stock, which is publicly traded stock, upon certain transfers and at the option of the holder. On the seventh anniversary of the closing of the Registrant's initial public offering (March 29, 2017), the Class B Common Stock and the Class A Common Stock will automatically convert into a single class of Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.