### INTERCONTINENTALEXCHANGE INC

Form 4 July 18, 2007

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Sprecher Jeffrey C

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Symbol

INC [ICE]

INTERCONTINENTALEXCHANGE (Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

10% Owner \_X\_\_ Director \_X\_\_ Officer (give title \_ \_ Other (specify

07/17/2007

below) Chief Executive Officer

PARKWAY, SUITE 500 (Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

ATLANTA, GA 30328

2100 RIVEREDGE

| (City)                               | (State)                              | (Zip) Tabl  | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                                     |         |              |  |  |   |  |
|--------------------------------------|--------------------------------------|---|--|-------------------------------------|---------|--------------|--|--|---|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8)   | 4. Securition Dispose (Instr. 3, 4) | ed of ( |              | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
| Common<br>Stock                      | 07/17/2007                           |   | M  | 4,000                               | A       | \$ 4.2       | 84,300 (1)   | D  |   |  |
| Common<br>Stock                      | 07/17/2007                           |   | A  | 13,802<br>(2)                       | A       | \$ 0         | 98,102 (1)   | D  |   |  |
| Common<br>Stock                      | 07/17/2007                           |   | F  | 5,859<br>(3)                        | D       | \$<br>166.85 | 92,243 (1)   | D  |   |  |
| Common<br>Stock                      | 07/17/2007                           |   | S(4)   | 700                                 | D       | \$<br>167.66 | 91,543 (1)   | D  |   |  |
| Common<br>Stock                      | 07/17/2007                           |   | S(4)   | 1,500                               | D       | \$<br>167.75 | 90,043 (1)   | D  |   |  |

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| Common<br>Stock | 07/17/2007 | S(4) | 1,100 | D | \$<br>167.84 | 88,943 (1)        | D |
|-----------------|------------|------|-------|---|--------------|-------------------|---|
| Common<br>Stock | 07/17/2007 | S(4) | 2,044 | D | \$<br>167.96 | 86,899 (1)        | D |
| Common<br>Stock | 07/17/2007 | S(4) | 700   | D | \$<br>168.09 | 86,199 (1)        | D |
| Common<br>Stock | 07/17/2007 | S(4) | 800   | D | \$<br>168.13 | 85,399 (1)        | D |
| Common<br>Stock | 07/17/2007 | S(4) | 500   | D | \$<br>168.18 | 84,899 (1)        | D |
| Common<br>Stock | 07/17/2007 | S(4) | 800   | D | \$<br>168.28 | 84,099 (1)        | D |
| Common<br>Stock | 07/17/2007 | S(4) | 900   | D | \$<br>168.35 | 83,199 (1)        | D |
| Common<br>Stock | 07/17/2007 | S(4) | 800   | D | \$<br>168.41 | 82,399 (1)        | D |
| Common<br>Stock | 07/17/2007 | S(4) | 800   | D | \$<br>168.47 | 81,599 (1)        | D |
| Common<br>Stock | 07/17/2007 | S(4) | 800   | D | \$<br>168.49 | 80,799 (1)        | D |
| Common<br>Stock | 07/17/2007 | S(4) | 900   | D | \$<br>168.64 | 79,899 (1)        | D |
| Common<br>Stock | 07/17/2007 | S(4) | 1,100 | D | \$<br>168.81 | 78,799 <u>(1)</u> | D |
| Common<br>Stock | 07/17/2007 | S(4) | 800   | D | \$<br>168.86 | 77,999 (1)        | D |
| Common<br>Stock | 07/17/2007 | S(4) | 1,100 | D | \$<br>168.92 | 76,899 (1)        | D |
| Common<br>Stock | 07/17/2007 | S(4) | 700   | D | \$<br>168.98 | 76,199 <u>(1)</u> | D |
| Common<br>Stock | 07/17/2007 | S(4) | 800   | D | \$<br>169.05 | 75,399 (1)        | D |
| Common<br>Stock | 07/17/2007 | S(4) | 900   | D | \$<br>169.11 | 74,499 (1)        | D |
| Common<br>Stock | 07/17/2007 | S(4) | 700   | D | \$<br>169.19 | 73,799 (1)        | D |
| Common<br>Stock | 07/17/2007 | S(4) | 661   | D | \$ 169.3     | 73,138 (1)        | D |
| Common<br>Stock | 07/17/2007 | S(4) | 900   | D | \$<br>169.47 | 72,238 (1)        | D |
|                 | 07/17/2007 | S(4) | 700   | D |              | 71,538 (1)        | D |

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| Common<br>Stock |            |      |     |   | \$<br>169.86 |            |   |
|-----------------|------------|------|-----|---|--------------|------------|---|
| Common<br>Stock | 07/17/2007 | S(4) | 500 | D | \$<br>170.14 | 71,038 (1) | D |
| Common<br>Stock | 07/17/2007 | S(4) | 800 | D | \$<br>170.16 | 70,238 (1) | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number out Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|---|---|---------------------------------------|--|--|--------------------|---|--|
|   |   |   |   | Code V                                | (A) (D)  | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 4.2  | 07/17/2007                              |   | M                                     | 4,000  | (5)  | 06/28/2010         | Common<br>Stock   | 4,000                                  |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                         |       |  |  |  |  |
|--|---------------|-----------|-------------------------|-------|--|--|--|--|
| •  | Director      | 10% Owner | Officer                 | Other |  |  |  |  |
| Sprecher Jeffrey C<br>2100 RIVEREDGE PARKWAY<br>SUITE 500<br>ATLANTA, GA 30328 | X             |           | Chief Executive Officer |       |  |  |  |  |
| <b>^</b> '   |               |           |                         |       |  |  |  |  |

## **Signatures**

/s/ Andrew J. Surdykowski,
Attorney-in-fact
07/18/2007

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\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- As previously reported, the reporting person also indirectly owns shares that are beneficially owned directly by Continental Power Exchange, Inc. ("CPEX"). The reporting person beneficially owns 100% of the equity interest in CPEX, which holds 2,032,978 shares of IntercontinentalExchange, Inc. common stock. Additionally, as previously reported, the reporting person also indirectly owns shares that are beneficially owned directly by the reporting person's spouse for which the reporting person disclaims beneficial ownership.
- (2) Represents shares of restricted stock issued to the filing person under the IntercontinentalExchange, Inc. 2004 Restricted Stock Plan.
- (3) Represents shares of Common Stock underlying vested restricted stock units that are being withheld to satisfy payment of the Issuer's tax withholding obligation.
- (4) The sales reported in this Form 4 were effected pursuant to a pre-arranged trading plan established in accordance with Rule 10b5-1 of the Securities Act of 1934, as amended.
- (5) These options are fully vested.

#### **Remarks:**

This is the first of two Forms 4 being filed by the reporting person as of the date on this form.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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