Edgar Filing: GOODYEAR TIRE & RUBBER CO /OH/ - Form 4

GOODYEAR TIRE & RUBBER CO /OH/

11/01/2013

Stock

Common

Form 4

November 05, 2013

FORM	Л Л							OMB AF	PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287	
Check th	gar						Expires:	January 31,		
if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the					ITIES				2005 verage rs per 0.5	
obligatio may con <i>See</i> Instr 1(b).	Section 17(a) of the string.		tility Hole	ding Con	npany	Act of	1935 or Section	1		
(Print or Type	Responses)									
Ruocco Joseph B Symbol				Ticker or			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	f Earliest Ti	ransaction			Director 10% Owner					
200 INNOV	Day/Year) 2013				X Officer (give title Other (specify below) Sr VP, Global Human Res					
	endment, Date Original				6. Individual or Joint/Group Filing(Check					
AKRON, C	DH 44316	Filed(Mo	nth/Day/Year	·)			Applicable Line) _X_ Form filed by C Form filed by M Person			
(City)	(State) (Zip) Tab	le I - Non-I	Derivative	Securi	ities Acqı	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	an	ecution Date, if	3. Transaction Code (Instr. 8)	4. Securit on(A) or Di (Instr. 3,	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	Ownership Form: Direct (D) or	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount		Price	(Instr. 3 and 4)			
Common Stock	11/01/2013		M	92,598	A	\$ 4.81	225,780	D		
Common Stock	11/01/2013		F	55,556	D	\$ 20.85	170,224	D		
Common Stock	11/01/2013		M	37,511	A	\$ 12.74	207,735	D		
Common Stock	11/01/2013		F	29,924	D	\$ 20.85	177,811	D		

25,240 A

M

203,051

D

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Common Stock	11/01/2013	F	20,872	D	\$ 20.85	182,179	D	
Common Stock	11/01/2013	M	14,352	A	\$ 12.94	196,531	D	
Common Stock	11/01/2013	F	11,521	D	\$ 20.85	185,010	D	
Common Stock						51,151 <u>(1)</u>	I	401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Secu Acqu or Di (D)	urities uired (A) Disposed of tr. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
2008 Plan Option	\$ 4.81	11/01/2013		M		92,598	02/26/2013(3)	02/26/2019	Common Stock	92,598
2008 Plan Option	\$ 12.74	11/01/2013		M		37,511	02/23/2014(4)	02/23/2020	Common Stock	37,511
2008 Plan Option	\$ 13.91	11/01/2013		M		25,240	02/22/2015(5)	02/22/2021	Common Stock	25,240
2008 Plan Option	\$ 12.94	11/01/2013		M		14,352	02/27/2016 <u>(6)</u>	02/27/2022	Common Stock	14,352

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Ruocco Joseph B 200 INNOVATION WAY AKRON, OH 44316

Sr VP, Global Human Res

Signatures

/s/ Bertram Bell, signing as an attorney-in-fact and agent duly authorized to execute this Form 4 on behalf of Joseph B Ruocco pursuant to a Power of Attorney dated 08/01/08, a copy of which has been previously filed with the SEC.

11/05/2013

Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Total number of shares of Common Stock allocated to the account of the reporting person in a Trust established under Goodyear's Employee Savings Plan for Salaried Employees, 401(k) Plan, as of November 1, 2013 as reported by the Plan Trustee.
- (2) Non-Qualified Stock Option in respect of shares of Common Stock granted under the 2008 Performance Plan.
- (3) The option vested and became exercisable in 25% increments over four years commencing one year after the date of grant (2/26/2009).
- (4) The option vested and became exercisable in 25% increments over four years commencing one year after the date of grant (2/23/2010).
- (5) The option vested and became exercisable in 25% increments over four years commencing one year after the date of grant (2/22/2011).
- (6) The option vested and became exercisable in 25% increments over four years commencing one year after the date of grant (2/27/2012). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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