**OOMA INC** Form 3 July 16, 2015

(Print or Type Responses)

(City)

(State)

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

## **OMB APPROVAL**

**OMB** Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement OOMA INC [OOMA] Narula Ravi (Month/Day/Year) 07/16/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O OOMA, INC., 1880 (Check all applicable) EMBARCADERO ROAD (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Chief Financial Officer Person PALO ALTO, Â CAÂ 94303 Form filed by More than One Reporting Person

## Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 0 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	(1)	12/22/2024	Common Stock	127,500	\$ 6.04	D	Â

**Reporting Owners** 

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Narula Ravi

C/O OOMA, INC. 1880 EMBARCADERO ROAD PALO ALTO, CAÂ 94303

**Signatures** 

/s/ Spencer D. Jackson, as attorney-in-fact for Ravi Narula 07/16/2015

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - The option will vest as to 25% of the shares on December 1, 2015, with the remaining 75% of shares vesting in equal monthly installments beginning on January 1, 2016 and ending on December 1, 2018. 61,276 of the shares subject to the option become
- (1) exercisable on December 23, 2014, 16,556 of the shares subject to the option become exercisable on January 1, 2015, 16,556 of the shares subject to the option become exercisable on January 1, 2016, 16,556 of the shares subject to the option become exercisable on January 1, 2017, and 16,556 of the shares subject to the option become exercisable on January 1, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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