## Edgar Filing: Minerva Neurosciences, Inc. - Form 4

Minerva Neurosciences, Inc. Form 4 December 08, 2015

**TRAPELO ROAD, SUITE 284** 

## **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Reilly Joseph H. Issuer Symbol Minerva Neurosciences, Inc. (Check all applicable) [NERV] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) C/O MINERVA 12/04/2015 SVP & Chief Operating Officer NEUROSCIENCES, INC., 1601

(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting WALTHAM, MA 02451 Person

| Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or<br>(Instr. 3) (Month/Day/Year) Execution Date, if TransactionAcquired (A) or<br>any Code Disposed of (D) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned (I) Owned<br>(Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned (I) Ownership<br>Following (Instr. 4) (Instr. 4)<br>Reported | (City)   | (State) | (Zip) Tab              | ole I - Non-       | Derivative Securiti   | es Acquired, Dispose   | l of, or Beneficia                     | lly Owned               |
|--|----------|---------|------------------------|--------------------|---|--|--|-------------------------|
| Code V Amount (D) Price (Instr. 3 and 4)   | Security |         | Execution Date, if any | Code<br>(Instr. 8) | nAcquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5)<br>(A)<br>or | Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | Form: Direct<br>(D) or Indirect<br>(I) | Beneficial<br>Ownership |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative2.3. Transaction Date<br>(Month/Day/Year)Security<br>(Instr. 3)or Exercise<br>Price of<br>Derivative<br>Security |              | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code       | 5. Number of<br>orDerivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                 |                                     |  |  |
|---|--------------|---|------------|--|--|---------------------|---|-----------------|-------------------------------------|--|--|
|   |              |   |            | Code V   | (A) (D)  | Date<br>Exercisable | Expiration<br>Date  | Title           | Amount<br>or<br>Number<br>of Shares |  |  |
| Stock<br>Option<br>(right to<br>buy)  | \$ 4.71 (1)  | 12/04/2015  |            | А  | 69,000   | (2)                 | 12/03/2025  | Common<br>Stock | 69,000                              |  |  |
| Reporting Owners  |              |   |            |  |  |                     |   |                 |                                     |  |  |
| Reporting Owner Name / Address  |              |   |            | Relationships  |  |                     |   |                 |                                     |  |  |
| iv.   | porting Owne | A Manie / Auuress   | Director   | 10% Owner  | er Officer   |                     |   | Other           |                                     |  |  |
| Reilly Joseph H.<br>C/O MINERVA NEUROSCIENCES, INC.<br>1601 TRAPELO ROAD, SUITE 284<br>WALTHAM, MA 02451                                  |              |   |            |  | SVP & Chief Operating Officer                                  |                     |   |                 |                                     |  |  |
| Signa   | tures        |   |            |  |  |                     |   |                 |                                     |  |  |
| /s/ Mark 1  | <i>.</i>     |   | 12/08/2015 |  |  |                     |   |                 |                                     |  |  |

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\*\*Signature of Reporting Person

Attorney-in-Fact

Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The exercise price is the closing price of the Issuer's common stock on December 4, 2015.

(2) This option shall vest as follows: 25% on December 4, 2016; and then in quarterly installments over 3 years thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.