#### STEPAN CO Form 3 July 21, 2016 **FORM 3** UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL OMB Number: 3235-0104

### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Slone Robert Victor		<ul><li>2. Date of Event Requiring</li><li>Statement</li><li>(Month/Day/Year)</li></ul>	<sup>g</sup> 3. Issuer Name and Ticker or Trading Symbol STEPAN CO [SCL]				
(Last)	(First)	(Middle)	07/19/2016	4. Relationship of Reporting Person(s) to Issuer		5. If Amendment, Date Original Filed(Month/Day/Year)	
22 WEST F	RONTAGI	EROAD					
	(Street)			(Check all applicable)		)	6. Individual or Joint/Group
NORTHFIE	ELD, ILÂ	60093		Officer OtherX (give title below) (specify below) Pe VP Chief Tech & Sustainability		Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Beneficially Owned				
1.Title of Secu (Instr. 4)	rity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Na Owne (Instr	1
Common St	ock		2,172.21	(1)	D	Â	
Common St	ock		246.784		Ι	By E	ESOP II Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

SEC 1473 (7-02)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
			Derivative	Security:	

January 31,

2005

0.5

Expires:

response...

Estimated average burden hours per

### Edgar Filing: STEPAN CO - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	02/23/2018	02/22/2026	Common Stock	1,277	\$ 43.85	D	Â
Employee Stock Option (Right to Buy)	02/17/2017	02/16/2025	Common Stock	1,204	\$ 41.16	D	Â
Employee Stock Option (Right to Buy)	11/04/2015	11/03/2023	Common Stock	1,377	\$ 57.79	D	Â
Stock Appreciation Right	02/23/2018	02/22/2026	Common Stock	3,832	\$ 43.85	D	Â
Stock Appreciation Right	02/17/2017	02/16/2025	Common Stock	3,611	\$ 41.16	D	Â
Stock Appreciation Right	11/04/2015	11/03/2023	Common Stock	1,377	\$ 57.79	D	Â
Performance Shares	(2)	(2)	Common Stock	1,140	\$ 0	D	Â
Performance Shares	(3)	(3)	Common Stock	1,215	\$ 0	D	Â

### **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
1	Director	10% Owner	Officer	Other		
Slone Robert Victor 22 WEST FRONTAGE ROAD NORTHFIELD, IL 60093	Â	Â	<ul><li>Â VP Chief Tech</li><li>&amp; Sustainability</li></ul>	Â		

# Signatures

Robert V. Slone	07/21/2016
<u>**</u> Signature of Reporting Person	Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes time-based Restricted Stock.
- (2) Each performance share represents a contingent right to receive 1 share of Stepan Company Common Stock. The performance shares vest upon Stepan Company achieving certain financial targets by December 31, 2018.
- (3) Each performance share represents a contingent right to receive 1 share of Stepan Company Common Stock. The performance shares vest upon Stepan Company achieving certain financial targets by December 31, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.