

STEPAN CO
Form 3
July 21, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *	2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol
Â Slone Robert Victor	(Month/Day/Year)	STEPAN CO [SCL]
(Last) (First) (Middle)	07/19/2016	
22 WEST FRONTAGE ROAD		4. Relationship of Reporting Person(s) to Issuer
(Street)		(Check all applicable)
		<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) VP Chief Tech & Sustainability
NORTHFIELD, Â IL Â 60093		5. If Amendment, Date Original Filed(Month/Day/Year)
(City) (State) (Zip)		
		6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	2,172.21 ⁽¹⁾	D	Â
Common Stock	246.784	I	By ESOP II Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	02/23/2018	02/22/2026	Common Stock	1,277	\$ 43.85	D	Â
Employee Stock Option (Right to Buy)	02/17/2017	02/16/2025	Common Stock	1,204	\$ 41.16	D	Â
Employee Stock Option (Right to Buy)	11/04/2015	11/03/2023	Common Stock	1,377	\$ 57.79	D	Â
Stock Appreciation Right	02/23/2018	02/22/2026	Common Stock	3,832	\$ 43.85	D	Â
Stock Appreciation Right	02/17/2017	02/16/2025	Common Stock	3,611	\$ 41.16	D	Â
Stock Appreciation Right	11/04/2015	11/03/2023	Common Stock	1,377	\$ 57.79	D	Â
Performance Shares	Â <u>(2)</u>	Â <u>(2)</u>	Common Stock	1,140	\$ 0	D	Â
Performance Shares	Â <u>(3)</u>	Â <u>(3)</u>	Common Stock	1,215	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Slone Robert Victor 22 WEST FRONTAGE ROAD NORTHFIELD, IL 60093	Â	Â	Â VP Chief Tech & Sustainability	Â

Signatures

Robert V. Slone 07/21/2016

 Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes time-based Restricted Stock.

(2) Each performance share represents a contingent right to receive 1 share of Stepan Company Common Stock. The performance shares vest upon Stepan Company achieving certain financial targets by December 31, 2018.

(3) Each performance share represents a contingent right to receive 1 share of Stepan Company Common Stock. The performance shares vest upon Stepan Company achieving certain financial targets by December 31, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.