Edgar Filing: VEEVA SYSTEMS INC - Form 4

| VEEVA SYS Form 4 March 17, 20 | STEMS INC | | | | | | | | | |
|---|---|---|--|-------------------------------|-------|--|---|--|--------------|--|
| FORN Check th if no long | ashington | RITIES AND EXCHANGE COMMISSION shington, D.C. 20549 | | | | | PPROVAL 3235-0287 January 31, 2005 | | | |
| subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Estimated average burden hours per | | | | | | | | irs per | | |
| (Print or Type I | Responses) | | | | | | | | | |
| CODD RONALD E F Symbol | | | | I Ticker or MS INC | | C | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| | | | of Earliest Tr h/Day/Year) /2017 | ransaction | | | X_ Director 10% Owner Officer (give title Other (specify below) below) | | | |
| PLEASAN | (Street) | Filed(Month/Day/Year) Applicable Line) _X_Form filed byForm filed byForm filed by | | | | oint/Group Filing(Check One Reporting Person More than One Reporting | | | | |
| (City) | | Zip) T | ble I - Non-I | Dorivativa | Socur | itios Acc | Person | f or Bonoficial | lly Owned | |
| 1.Title of Security (Instr. 3) | of 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any | | 3. Transacti Code (Instr. 8) | Transaction(A) or Disposed of | | | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of | |
| Class A Common Stock | 03/15/2017(1) | | C | 3,000 | A | \$ 0 | 6,138 | D | | |
| Class A Common Stock | 03/15/2017 | | S <u>(2)</u> | 3,000 | D | \$ 46.3 | 3,138 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Numb orDerivati Securitie Acquired Disposed (Instr. 3, 5) | ve es d (A) or d of (D) |)) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|--|----------------------------------|---------------------|--------------------|---|--|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (right to purchase) | \$ 1.11 | 03/15/2017 <u>(1)</u> | | М | | 3,000 | (3) | 03/01/2022 | Class B Common Stock | 3,000 |
| Class B Common Stock | <u>(4)</u> | 03/15/2017 <u>(1)</u> | | А | 3,000 | | (4) | (4) | Class A Common Stock | 3,000 |
| Class B Common Stock | <u>(4)</u> | 03/15/2017 <u>(1)</u> | | С | | 3,000 | (4) | (4) | Class A Common Stock | 3,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|------------|---------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| CODD RONALD E F C/O VEEVA SYSTEMS INC. 4280 HACIENDA DRIVE PLEASANTON, CA 94588 | Х | | | | | | | |
| Signatures | | | | | | | | |
| /s/ Brian N. Savage, attorney in fact | | 03/17/2017 | | | | | | |

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction exempt from Section 16(b) of the Securities Exchange Act of 1934 (the "Act") pursuant to Rule 16b-6(b) promulgated under the Act.
- (2) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
- (3) The option shares are fully vested and may be exercised at any time.

(4)

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Each share of Class B Common Stock is convertible, at any time at the option of the holder, into one (1) share of Class A Common Stock and has no expiration date. In addition, each share of Class B Common Stock will convert automatically into one (1) share of Class A Common Stock upon any transfer, whether or not for value, which occurs after the closing of the IPO, except for certain permitted transfers described in, and transfers to any "permitted transferee" as defined in, the Issuer's restated certificate of incorporation in effect after the closing of the IPO. All shares of Class A and Class B Common Stock will convert automatically into shares of a single class of Common Stock upon the earliest to occur of the following: (a) upon the election by the holders of a majority of the then outstanding shares of Class B Common Stock or (b) October 15, 2023.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.