

McCallion John D.
Form 3
May 10, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

McCallion John D.
(Last) (First) (Middle)

200 PARK AVENUE
(Street)

NEW YORK, NY 10166

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
05/01/2018

3. Issuer Name and Ticker or Trading Symbol
METLIFE INC [MET]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
EVP & Chief Financial Officer

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

Common Stock

2. Amount of Securities Beneficially Owned
(Instr. 4)

16,677

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

D

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Restricted Stock Units	Â (1)	Â (1)	Common Stock	1,580	\$ (2)	D	Â
Restricted Stock Units	Â (3)	Â (3)	Common Stock	1,453	\$ (2)	D	Â
Restricted Stock Units	Â (4)	Â (4)	Common Stock	3,572	\$ (2)	D	Â
Employee Stock Options (Right to Buy)	Â (5)	02/23/2019	Common Stock	3,861	\$ 20.82	D	Â
Employee Stock Options (Right to Buy)	Â (6)	02/22/2020	Common Stock	6,716	\$ 31.13	D	Â
Employee Stock Options (Right to Buy)	Â (7)	02/22/2021	Common Stock	8,619	\$ 40.91	D	Â
Employee Stock Options (Right to Buy)	Â (8)	02/27/2022	Common Stock	10,745	\$ 34.21	D	Â
Employee Stock Options (Right to Buy)	Â (9)	02/27/2027	Common Stock	6,533	\$ 46.85	D	Â
Employee Stock Options (Right to Buy)	Â (10)	03/01/2028	Common Stock	10,712	\$ 45.5	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
McCallion John D. 200 PARK AVENUE NEW YORK, NY 10166	Â	Â	Â EVP & Chief Financial Officer	Â

Signatures

Mark A. Schuman, authorized
signer 05/10/2018

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These Restricted Stock Units will vest on March 1, 2019.
- (2) Each Restricted Stock Unit is the contingent right to receive one share of MetLife, Inc. common stock.
- (3) These Restricted Stock Units will vest in two installments on March 1, 2019 and March 2, 2020.
- (4) These Restricted Stock Units will vest in three installments on March 4, 2019, March 2, 2020 and 2021.
- (5) These options became exercisable in three installments on February 24, 2010, 2011 and 2012. The reported number of underlying shares represent outstanding unexercised options.

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- (6) These options became exercisable in three installments on February 23, 2011, 2012 and 2013. The reported number of underlying shares represent outstanding unexercised options.
- (7) These options became exercisable in three installments on February 23, 2012, 2013 and 2014. The reported number of underlying shares represent outstanding unexercised options.
- (8) These options became exercisable in three installments on February 28, 2013, 2014 and 2015.
- (9) These options became and will become exercisable in three installments on February 28, 2018, 2019 and 2020. The reported number of underlying shares represent outstanding unexercised options.
- (10) These options will become exercisable in three installments on March 2, 2019, 2020 and 2021. The reported number of underlying shares represent outstanding unexercised options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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