Hussain Muhammad Raghib Form 4 July 12, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

Number: 3235-0287

Synings: January 31,

Expires: 2005
Estimated average

0.5

burden hours per response...

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. *See* Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Hussain Muhammad Raghib

2. Issuer Name **and** Ticker or Trading

Symbol

MARVELL TECHNOLOGY GROUP LTD [MRVL] 5. Relationship of Reporting Person(s) to Issuer

(Last)

(City)

(First)

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year) 07/06/2018

____ Director _____ 10% Owner ____ X__ Officer (give title _____ Other (specify

(Check all applicable)

below) below)

EVP Chief Strategy Officer

C/O 5488 MARVELL LANE

(Street)

(State)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person ___ Form filed by More than One Reporting

Person

SANTA CLARA, CA 95054

(City)	(State)	Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio	3. 4. Securities Acquired Fransaction(A) or Disposed of (D)			5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect		
(Instr. 3)	(manua 2 uji 1 cur)	any	Code (Instr. 3, 4 and 5)			Beneficially	(D) or	Beneficial			
		(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership		
							Following Reported	(Instr. 4)	(Instr. 4)		
					(A) or		Transaction(s) (Instr. 3 and 4)				
			Code V	Amount	(D)	Price	(111str. 3 and 4)				
Common shares	07/06/2018		A	497,578 (1)	A	<u>(1)</u>	497,578	D			
Common shares	07/06/2018		A	78,325 (2)	A	<u>(2)</u>	575,903	I	By family trusts (3)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Edgar Filing: Hussain Muhammad Raghib - Form 4

$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year) or D)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amoun Numbe Shares
Stock option (right to buy)	\$ 9.33	07/06/2018		A	40,339	07/06/2018	03/22/2020	Common shares	40,33
Stock option (right to buy)	\$ 9.38	07/06/2018		A	100,848	07/06/2018	02/07/2021	Common shares	100,8
Stock option (right to buy)	\$ 15.58	07/06/2018		A	51,981	<u>(5)</u>	02/16/2022	Common shares	51,98
Stock option (right to buy)	\$ 12.12	07/06/2018		A	145,952	<u>(6)</u>	02/11/2023	Common shares	145,9
Stock option (right to buy)	\$ 16.31	07/06/2018		A	116,024	<u>(7)</u>	02/10/2024	Common shares	116,0
Restricted stock units	<u>(8)</u>	07/06/2018		A	24,909 (9)	(10)	(10)	Common shares	24,90
Restricted stock units	<u>(8)</u>	07/06/2018		A	37,773 (12)	(10)	(10)	Common shares	37,77
Restricted stock units	<u>(8)</u>	07/06/2018		A	25,990 (13)	(14)	(14)	Common shares	25,99
Restricted stock units	(8)	07/06/2018		A	82,211 (15)	(16)	(16)	Common shares	82,21
Restricted stock units	<u>(8)</u>	07/06/2018		A	99,202 (17)	<u>(18)</u>	(18)	Common shares	99,20
Restricted stock units	<u>(8)</u>	07/06/2018		A	130,151 (19)	(20)	(20)	Common shares	130,1

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Hussain Muhammad Raghib C/O 5488 MARVELL LANE SANTA CLARA, CA 95054

EVP Chief Strategy Officer

Signatures

M. Raghib Hussain by Mary Ahern as attorney in fact

07/12/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Received in exchange for 228,698 shares of Cavium, Inc. common stock in connection with the merger (the "Merger") of Cavium, Inc.
- (1) into Marvell Technology Group Ltd. ("Marvell"). On the effective date of the Merger, the Reporting Person received the reported common shares of Marvell.
- Received in exchange for 36,000 shares of Cavium, Inc. common stock in connection with the merger (the "Merger") of Cavium, Inc.
- (2) into Marvell Technology Group Ltd. ("Marvell"). On the effective date of the Merger, the Reporting Person received the reported common shares of Marvell.
- (3) Held in trusts of which the Reporting Person is the trustee, for the benefit of members of his immediate family.
- (4) Received in the Merger in exchange for employee stock options to acquire shares of Cavium, Inc. stock.
- (5) Exercisable in equal monthly installments on the 16th of each month beginning 7/16/18 and ending 2/16/2019.
- (6) Exercisable in equal monthly installments on the 11th of each month beginning 7/11/2018 and ending 2/11/2020.
- (7) Exercisable in equal monthly installments on the 10th of each month beginning 7/10/2018 and ending 2/10/2021.
- (8) Each restricted stock unit represents a contingent right to receive one Marvell Technology Group Ltd. ("Marvell") common share upon vesting.
- (9) Received in exchange for 6,175 restricted stock units of Cavium, Inc. in connection with the Merger. On the effective date of the Merger, the Reporting Person received the reported restricted stock units of Marvell.
- (10) This award vests as to 100% of the shares on January 31, 2019.
- (11) Received in the Merger in exchange for restricted stock units of Cavium, Inc.
- (12) Received in exchange for 9,364 restricted stock units of Cavium, Inc. in connection with the Merger. On the effective date of the Merger, the Reporting Person received the reported restricted stock units of Marvell.
- (13) Received in exchange for 6,443 restricted stock units of Cavium, Inc. in connection with the Merger. On the effective date of the Merger, the Reporting Person received the reported restricted stock units of Marvell.
- (14) This award vests as to 100% of the shares on January 31, 2019.
- (15) Received in exchange for 20,380 restricted stock units of Cavium, Inc. in connection with the Merger. On the effective date of the Merger, the Reporting Person received the reported restricted stock units of Marvell.
- (16) This award vests as to 50% of the shares on each of January 31, 2019 and 2020.
- (17) Received in exchange for 24,592 restricted stock units of Cavium, Inc. in connection with the Merger. On the effective date of the Merger, the Reporting Person received the reported restricted stock units of Marvell.
- (18) This awards vests as to 1/3 of the shares on each of January 31, 2019, 2020 and 2021.

(19)

Reporting Owners 3

Edgar Filing: Hussain Muhammad Raghib - Form 4

Received in exchange for 32,264 restricted stock units of Cavium, Inc. in connection with the Merger. On the effective date of the Merger, the Reporting Person received the reported restricted stock units of Marvell.

(20) This award vests as of 25% of the shares on each of January 31, 2019, 2020, 2021 and 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.