Edgar Filing: Bansal Rishi - Form 4

| Bansal Rishi Form 4 | | | | | | | | | | | |
|---|---|--------------------|--|--|-----------|-------|---|---|--|----------|--|
| February 14, | 2019 | | | | | | | | | | |
| | ГЛ | | | | | | | | OMB AF | PPROVAL | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549 | | | | | | | OMMISSION | OMB Number: | 3235-0287 | | |
| Check thi if no long subject to Section 1 Form 4 or Form 5 | F CHANGES IN BENEFICIAL OWN SECURITIES Section 16(a) of the Securities Exchange | | | | | | Expires: Estimated a burden hou response | | | | |
| obligation may cont <i>See</i> Instru 1(b). | inue. Section 1 | 7(a) of the | Public Ut | | ling Con | npany | y Act of | 1935 or Section | 1 | | |
| (Print or Type F | Responses) | | | | | | | | | | |
| Bansal Rishi Symbol | | | Symbol | uer Name and Ticker or Trading bl United, Inc. [BKU] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (I t) | (First) | () (; 1.11.) | | | | | | (Check | c all applicable |) | |
| (Mont | | | (Month/D | Date of Earliest Transaction Month/Day/Year) 2/12/2019 | | | | Director 10% Owner X_Officer (give title Other (specify below) below) below) Officer of Subsidiary | | | |
| | (Street) | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | 1 | | 6. Individual or Joint/Group Filing(Check Applicable Line) | | | |
| MIAMI LA | KES, FL 33016 | Ó | | | | | | _X_ Form filed by O Form filed by M Person | | | |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| (Instr. 3) any | | r) Executio any | med on Date, if Day/Year) | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) | | | d of (D) | Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| C | | | | Code V | Amount | or | Price | Transaction(s) (Instr. 3 and 4) | | | |
| Common Stock, par value \$0.01 per share | 02/12/2019 | | | F <u>(1)</u> | 3,352 | D | \$ 35.44 | 44,752 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Titl Amou Under Securi (Instr. | int of lying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|---------------------------------------|---|---------------------|--------------------|---|--|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|------------|-----------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Bansal Rishi C/O BANKUNITED, INC. 14817 OAK LANE MIAMI LAKES, FL 33016 | | | Officer of Subsidiary | | | | | |
| Signatures | | | | | | | | |
| /s/ Susan Greenfield as Attorney-in-Fact | | 02/14/2019 | | | | | | |
| <u>**</u> Signature of Reporting Person | | Date | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares of BankUnited, Inc. common stock withheld by the Issuer to satisfy the tax withholding obligation of the reporting person arising(1) from the vesting of restricted shares on February 12, 2019. These shares were not sold by the reporting person but were instead withheld from the total number of vested shares received by the reporting person from the Issuer.

Remarks:

The Reporting Person is the Chief Investment Officer of BankUnited, N.A., a wholly owned subsidiary of BankUnited, Inc.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.