NORDSON CORP Form 4

November 25, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

may continue. See Instruction

(Print or Type Responses)

DeVries James E

(Last)

1(b).

1. Name and Address of Reporting Person \*

(First)

(Street)

(Middle)

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer

NORDSON CORP [NDSN]

3. Date of Earliest Transaction

(Month/Day/Year) 11/23/2015

Symbol

Director 10% Owner \_ Other (specify Officer (give title

(Check all applicable)

**OMB APPROVAL** 

Estimated average

burden hours per

Expires:

response...

3235-0287

January 31,

2005

0.5

below) Vice President

4. If Amendment, Date Original

Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

WESTLAKE, OH 44145

28601 CLEMENS ROAD

(City)	(State)	(Zip) <b>Tabl</b>	le I - Non-L	Derivative	Secu	rities Acq	uired, Disposed o	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	11/23/2015		A	1,100	A	\$ 0	31,480	D (1)	
Common Stock	11/24/2015		F	144	D	\$ 71.23	31,336	D (2)	
Common Stock							2,807	I	By Company ESOP Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	ction of Derivative Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A	<b>A</b> )	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options (right to buy)	\$ 24.46								<u>(4)</u>	12/06/2016	Common Stock	5,000
Employee Stock Options (right to buy)	\$ 26.46								<u>(4)</u>	12/05/2017	Common Stock	5,000
Employee Stock Options (right to buy)	\$ 14.37								<u>(4)</u>	12/04/2018	Common Stock	5,800
Employee Stock Options (right to buy)	\$ 27.26								<u>(4)</u>	12/03/2019	Common Stock	3,500
Employee Stock Options (right to buy)	\$ 43.32								<u>(5)</u>	12/07/2020	Common Stock	4,000
Employee Stock Options (right to	\$ 43.73								<u>(6)</u>	11/28/2021	Common Stock	6,000

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buy)								
Employee Stock Options (right to buy)	\$ 61.59				<u>(7)</u>	11/28/2022	Common Stock	4,500
Employee Stock Options (right to buy)	\$ 71.75				<u>(8)</u>	11/25/2023	Common Stock	5,200
Employee Stock Options (right to buy)	\$ 79.66				<u>(9)</u>	11/24/2024	Common Stock	5,800
Employee Stock Options (right to buy)	\$ 70.91	11/23/2015	A	9,100	(10)	11/23/2025	Common Stock	9,100

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
reporting of more runner, raune on	Director	10% Owner	Officer	Other				
DeVries James E								
28601 CLEMENS ROAD			Vice President					
WESTLAKE, OH 44145								

### **Signatures**

Robert E. Veillette, Attorney-In-Fact

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On November 23, 2015, the Company awarded 1,100 restricted shares under the Company's 2012 Stock Incentive and Award Plan. One-third of the restricted shares will vest on each of November 23, 2016, 2017, and 2018.
  - On November 24, 2014, the Company awarded 900 restricted shares under the Company's 2012 Stock Incentive and Award Plan. One-third of the restricted shares vested November 24, 2015, and one-third will vest November 24, on each of 2016 and 2017. 144 of the

(2) 300 shares that vested November 24, 2015 were withheld to cover withholding taxes due upon vesting. The holdings include 2,783 shares acquired through participation in the Company's Dividend Reinvestment Plan and are net of shares previously withheld, or sold under a Rule 10b5-1 trading plan, to cover withholding taxes.

**(3)** 

Reporting Owners 3

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Represents the number of shares attributable to the reporting person's participation in the Company Stock Fund of the Nordson Employee Stock Ownership Plan, exempt pursuant to Rule 16b-3(c). The reporting person disclaims beneficial ownership of these securities

- (4) All such options have fully vested.
- On December 7, 2010, the Company awarded 4,000 stock options under the Company's Long-Term Performance Plan. The options vest in 5 equal annual installments beginning on December 7, 2011. The vested portions of such options will become exercisable upon vesting.
- On November 28, 2011, the Company awarded 6,000 stock options under the Company's Long-Term Performance Plan. The options vest in 4 equal annual installments beginning on November 28, 2012. The vested portions of such options will become exercisable upon vesting.
- On November 28, 2012, the Company awarded 4,500 stock options under the Company's Long-Term Performance Plan. The options vest in 4 equal annual installments beginning on November 28, 2013. The vested portions of such options will become exercisable upon vesting.
- On November 25, 2013, the Company awarded 5,200 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 25, 2014. The vested portions of such options will become exercisable upon vesting.
- On November 24, 2014, the Company awarded 5,800 stock options under the Company's 2012 Stock Incentive and Award Plan. The options vest in 4 equal annual installments beginning on November 24, 2015. The vested portions of such options will become exercisable upon vesting.
- On November 23, 2015, the Company awarded 9,100 stock options under the Company's 2012 Stock Incentive and Award Plan. The (10) options vest in 4 equal annual installments beginning on November 23, 2016. The vested portions of such options will become exercisable upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.