

Murphy Kieran Pius
Form 3
September 11, 2018

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Murphy Kieran Pius
(Last) (First) (Middle)

41 FARNSWORTH STREET

(Street)

BOSTON,Â MAÂ 02210

(City)

(State)

(Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)
09/07/2018

3. Issuer Name **and** Ticker or Trading Symbol
GENERAL ELECTRIC CO [GE]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer ____ Other
(give title below) (specify below)
Senior Vice President

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
__X__ Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

59,024

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date
Exercisable

Expiration
Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

Amount or
Number of

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Restricted Stock Units	Â <u>(2)</u>	Â <u>(2)</u>	Common Stock	5,000	\$ <u>(1)</u>	D	Â
Restricted Stock Units	Â <u>(3)</u>	Â <u>(3)</u>	Common Stock	15,000	\$ <u>(1)</u>	D	Â
Restricted Stock Units	Â <u>(4)</u>	Â <u>(4)</u>	Common Stock	40,000	\$ <u>(1)</u>	D	Â
Restricted Stock Units	Â <u>(5)</u>	Â <u>(5)</u>	Common Stock	20,000	\$ <u>(1)</u>	D	Â
Restricted Stock Units	Â <u>(6)</u>	Â <u>(6)</u>	Common Stock	100,000	\$ <u>(1)</u>	D	Â
Restricted Stock Units	Â <u>(7)</u>	Â <u>(7)</u>	Common Stock	21,000	\$ <u>(1)</u>	D	Â
Restricted Stock Units	Â <u>(8)</u>	Â <u>(8)</u>	Common Stock	90,000	\$ <u>(1)</u>	D	Â
Employee Stock Option (right to buy) <u>(9)</u>	09/09/2009	09/09/2018	Common Stock	2,500	\$ 28.12	D	Â
Employee Stock Option (right to buy) <u>(9)</u>	09/07/2013	09/07/2022	Common Stock	100,000	\$ 21.59	D	Â
Employee Stock Option (right to buy) <u>(9)</u>	09/13/2014	09/13/2023	Common Stock	80,000	\$ 23.78	D	Â
Employee Stock Option (right to buy) <u>(9)</u>	09/05/2015	09/05/2024	Common Stock	98,127	\$ 26.1	D	Â
Employee Stock Option (right to buy) <u>(9)</u>	09/05/2015	09/05/2024	Common Stock	1,873	\$ 26.1	D	Â
Employee Stock Option (right to buy) <u>(9)</u>	09/11/2016	09/11/2025	Common Stock	125,000	\$ 24.95	D	Â
Employee Stock Option (right to buy) <u>(9)</u>	09/30/2017	09/30/2026	Common Stock	150,000	\$ 29.62	D	Â
Employee Stock Option (right to buy) <u>(9)</u>	09/06/2018	09/06/2027	Common Stock	150,000	\$ 24.92	D	Â
Employee Stock Option (right to buy) <u>(10)</u>	01/29/2019	01/29/2028	Common Stock	500,000	\$ 16.28	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Murphy Kieran Pius 41 FARNSWORTH STREET BOSTON, MA 02210	Â	Â	Â Senior Vice President	Â

Signatures

Julia L. Chen on behalf of Kieran
Murphy

09/11/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1-for-1
- (2) 25,000 units granted on 7/24/2014; 5,000 units vested on 7/24/2015; 5,000 units vested on 7/24/2016; 5,000 units vested on 7/24/2017; 5,000 units vested on 7/24/2018; and 5,000 units are scheduled to vest on 7/24/2019.
- (3) 25,000 units granted on 9/11/2015; 5,000 units vested on 9/11/2016; 5,000 units vested on 9/11/2017; 5,000 units are scheduled to vest on 9/11/2018; 5,000 units are scheduled to vest on 9/11/2019; and 5,000 units are scheduled to vest on 9/11/2020.
- (4) 50,000 units granted on 7/28/2016; 10,000 units vested on 7/28/2017; 10,000 units are scheduled to vest on 7/28/2018; 10,000 units are scheduled to vest on 7/28/2019; 10,000 units are scheduled to vest on 7/28/2020; and 10,000 units are scheduled to vest on 7/28/2021.
- (5) 25,000 units granted on 2/10/2017; 5,000 units vested on 2/10/2018; 5,000 units are scheduled to vest on 2/10/2019; 5,000 units are scheduled to vest on 2/10/2020; 5,000 units are scheduled to vest on 2/10/2021; and 5,000 units are scheduled to vest on 2/10/2022.
- (6) 100,000 units granted on 6/9/2017; 50,000 units are scheduled to vest on 6/9/2020; and 50,000 units are scheduled to vest on 6/9/2022.
- (7) 21,000 units granted on 9/6/2017; 4,200 units vested on 9/6/2018; 4,200 units are scheduled to vest on 9/6/2019; 4,200 units are scheduled to vest on 9/6/2020; 4,200 units are scheduled to vest on 9/6/2021; and 4,200 units are scheduled to vest on 9/6/2022.
- (8) 90,000 units granted on 2/26/2018; 30,000 units are scheduled to vest on 2/26/2019; 30,000 units are scheduled to vest on 2/26/2020; and 30,000 units are scheduled to vest on 2/26/2021.
- (9) The options become exercisable in five equal installments of 20% each beginning on the "Date Exercisable" shown to the right, and another 20% become exercisable each year thereafter.
- (10) The options become exercisable in one installment on 1/29/2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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