

DIMITRIEF ALEXANDER

Form 4

February 04, 2019

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**DIMITRIEF ALEXANDER**

(Last) (First) (Middle)

**GENERAL ELECTRIC  
COMPANY, 41 FARNSWORTH  
STREET**

(Street)

**BOSTON, MA 02210**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

**GENERAL ELECTRIC CO [GE]**

3. Date of Earliest Transaction  
(Month/Day/Year)

01/31/2019

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

Senior Vice President

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	01/31/2019	01/31/2019	M		5,000	A	\$ 0	108,075	D
Common Stock	01/31/2019	01/31/2019	F		2,296	D	\$ 10.27	105,779	D
Common Stock	01/31/2019	01/31/2019	M		73,350	A	\$ 0	179,129	D
Common Stock	01/31/2019	01/31/2019	F		33,102	D	\$ 10.27	146,027	D
Common Stock	01/31/2019	01/31/2019	M		9,600	A	\$ 0	155,627	D

# Edgar Filing: DIMITRIEF ALEXANDER - Form 4

Common Stock	01/31/2019	01/31/2019	F	4,333	D	\$ 10.27	151,294	D	
Common Stock	01/31/2019	01/31/2019	M	13,600	A	\$ 0	164,894	D	
Common Stock	01/31/2019	01/31/2019	F	6,138	D	\$ 10.27	158,756	D	
Common Stock							77,823	I	by 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Restricted Stock Units	<u>(1)</u>	01/31/2019 <u>(2)</u>		M	5,000	01/31/2019 01/31/2019	Common Stock 5,000
Restricted Stock Units	<u>(1)</u>	01/31/2019 <u>(2)</u>		M	73,350	01/31/2019 01/31/2019	Common Stock 73,350
Restricted Stock Units	<u>(1)</u>	01/31/2019 <u>(2)</u>		M	9,600	01/31/2019 01/31/2019	Common Stock 9,600
Restricted Stock Units	<u>(1)</u>	01/31/2019 <u>(2)</u>		M	13,600	01/31/2019 01/31/2019	Common Stock 13,600

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

DIMITRIEF ALEXANDER  
GENERAL ELECTRIC COMPANY  
41 FARNSWORTH STREET  
BOSTON, MA 02210

Senior Vice President

## Signatures

Brian Sandstrom on behalf of Alexander  
Dimitrief

02/04/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1 for 1
- (2) Reflects accelerated lapse due to post age 60 retirement eligibility.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.