AGILYSYS INC Form 3

November 28, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement AGILYSYS INC [AGYS] Pritchett Anthony (Month/Day/Year) 11/18/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1000 WINDWARD (Check all applicable) **CONCOURSE, SUITE 250** (Street) 6. Individual or Joint/Group 10% Owner Director _X__ Officer Other Filing(Check Applicable Line) (give title below) (specify below) _X_ Form filed by One Reporting Interim CFO Person ALPHARETTA, Â GAÂ 30005 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock (1) D 18,610 Common Stock 460 I By spouse's IRA Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
--	--	--	---	--	--

Edgar Filing: AGILYSYS INC - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock-settled appreciation rights $\underline{^{(2)}}$	(2)	12/29/2018	Common Stock	1,167	\$ 7.95	D	Â
Stock-settled appreciation rights $\frac{(2)}{}$	(2)	06/11/2019	Common Stock	1,167	\$ 7.46	D	Â
Stock-settled appreciation rights (3)	(3)	08/10/2022	Common Stock	5,992	\$ 9.6	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Pritchett Anthony 1000 WINDWARD CONCOURSE, SUITE 250 ALPHARETTA, GA 30005	Â	Â	Interim CFO	Â	

Signatures

/s/ Kyle C. Badger, Attorney-in-fact

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Includes (a) 6,828 unrestricted shares; (b) 693 restricted shares granted under the Agilysys, Inc. 2011 Stock Incentive Plan, which vest on
- (1) March 31, 2017; (c) 2,089 restricted shares granted under the Agilysys, Inc. 2011 Stock Incentive Plan, which vest in one-third increments on March 31, 2017, 2018 and 2019; and (d) 9,000 restricted shares granted under the Agilysys, Inc. 2016 Stock Incentive Plan, which vest on October 25, 2017.
- (2) Stock-settled appreciation rights granted under the Agilysys, Inc. 2011 Stock Incentive Plan. The SSARs are fully vested.
- (3) Stock-settled appreciation rights granted under the Agilysys, Inc. 2011 Stock Incentive Plan. The SSARs vest in one-third increments on March 31, 2017, 2018 and 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2