**MOSAIC CO** Form 4 March 09, 2015

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

C/O THE MOSAIC

DRIVE, SUITE E490

1. Name and Address of Reporting Person \* O'Rourke James Calvin

2. Issuer Name and Ticker or Trading Symbol

Issuer

below)

MOSAIC CO [MOS]

(First) (Middle) (Last)

(Street)

(State)

3. Date of Earliest Transaction

(Month/Day/Year) 03/05/2015

Director 10% Owner X\_ Officer (give title Other (specify

(Check all applicable)

EVP - Operations & COO

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

COMPANY, 3033 CAMPUS

(Zip)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

PLYMOUTH, MN 55441

(City)

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Beneficially (D) or Owned Following Reported

Form: Direct Indirect Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

(A) or

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

53,092 D

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: MOSAIC CO - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A or Disposed (D) (Instr. 3, 4, and 5)	Expiration Da (Month/Day/Y	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (I	Date Exercisable D)	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 52.72					<u>(1)</u>	07/27/2019	Common Stock	12,019	
Stock Option (Right to Buy)	\$ 44.93					<u>(1)</u>	07/27/2020	Common Stock	20,259	
Stock Option (Right to Buy)	\$ 70.62					<u>(1)</u>	07/21/2021	Common Stock	16,150	
Restricted Stock Units	\$ 0 (2)					07/19/2015	(3)	Common Stock	10,992	
Stock Option (Right to Buy)	\$ 57.62					<u>(4)</u>	07/19/2022	Common Stock	27,681	
Restricted Stock Units	\$ 0 (2)					07/18/2016	(3)	Common Stock	11,722	
Stock Option (Right to Buy)	\$ 54.03					<u>(5)</u>	07/18/2023	Common Stock	29,987	
Restricted Stock Units	\$ 0 (2)					03/07/2017	(3)	Common Stock	12,735	
Stock Option (Right to Buy)	\$ 49.73					<u>(6)</u>	03/07/2024	Common Stock	33,706	
Stock Option	\$ 50.43	03/05/2015		A	37,306	<u>(7)</u>	03/05/2025	Common Stock	37,306	

(Right to Buy)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

O'Rourke James Calvin C/O THE MOSAIC COMPANY 3033 CAMPUS DRIVE, SUITE E490 PLYMOUTH, MN 55441

EVP -Operations & COO

#### **Signatures**

/s/ Mark J. Isaacson, Attorney-in-Fact for James C. O'Rourke

03/09/2015

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Stock Option is 100% exercisable.
- (2) One-for-One
- (3) Not Applicable
- (4) Grant Date 07/19/2012; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (5) Grant Date 07/18/2013; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (6) Grant Date 03/07/2014; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (7) Grant Date 03/05/2015; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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