MOSAIC CO Form 4/A July 23, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Davis Gary N. Issuer Symbol MOSAIC CO [MOS] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify ATRIA CORPORATE CENTER, 07/19/2015 below) SUITE E490, 3033 CAMPUS Sr VP - Phosphate Operations **DRIVE** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person 07/21/2015 Form filed by More than One Reporting PLYMOUTH, MN 55441 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

|                 |                     | 14.51              | The Period Bernary Securities required, Disposed of, or Beneficiary Switch |              |        |              |                  |              |              |
|-----------------|---------------------|--------------------|--|--------------|--------|--------------|------------------|--------------|--------------|
| 1.Title of      | 2. Transaction Date | 2A. Deemed         | 3.   | 4. Securi    | ties A | cquired      | 5. Amount of     | 6. Ownership | 7. Nature of |
| Security        | (Month/Day/Year)    | Execution Date, if | Transactio   | on(A) or D   | ispose | d of (D)     | Securities       | Form: Direct | Indirect     |
| (Instr. 3)      |                     | any                | Code (Instr. 3, 4 and 5)   |              |        | Beneficially | (D) or           | Beneficial   |              |
|                 |                     | (Month/Day/Year)   | (Instr. 8)   |              |        |              | Owned            | Indirect (I) | Ownership    |
|                 |                     |                    |  |              |        |              | Following        | (Instr. 4)   | (Instr. 4)   |
|                 |                     |                    |  |              | ( )    |              | Reported         |              |              |
|                 |                     |                    |  |              | (A)    |              | Transaction(s)   |              |              |
|                 |                     |                    | G 1 17   |              | or     | ъ.           | (Instr. 3 and 4) |              |              |
|                 |                     |                    | Code V   | Amount       | (D)    | Price        |                  |              |              |
| Common<br>Stock | 07/19/2015          |                    | F(1)   | 1,767<br>(2) | D      | \$<br>45.26  | 32,345           | D            |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

#### Edgar Filing: MOSAIC CO - Form 4/A

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.  onNumber of Derivative Securities Acquired (A) or Disposed of (D) |                     | ate                | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | of<br>ng<br>s | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|---|---|---------------------|--------------------|---|---------------|---|---|
|   |   |   |   | Code V                                  | (Instr. 3, 4, and 5)  (A) (D)   | Date<br>Exercisable | Expiration<br>Date | or<br>Title Nu<br>of  | umber         |   |   |

# **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                                    |       |  |  |
|--|---------------|-----------|------------------------------------|-------|--|--|
| . 9  | Director      | 10% Owner | Officer                            | Other |  |  |
| Davis Gary N. ATRIA CORPORATE CENTER, SUITE E490 3033 CAMPUS DRIVE PLYMOUTH MN 55441 |               |           | Sr VP -<br>Phosphate<br>Operations |       |  |  |

## **Signatures**

/s/Mark J. Isaacson, Attorney-in-Fact for Gary N. 07/23/2015 **Davis** 

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares sold to cover tax liability incurred as a result of most recent vesting of Restricted Stock Units and Performance Units.
- (2) The shares were overstated by 36 shares on the reporting person's original Form 4, on which 1,803 shares were reported instead of 1,767. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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