

BRAUSEN ANTHONY T

Form 3

February 05, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â BRAUSEN ANTHONY T

(Last) (First) (Middle)

C/O THE MOSAIC
COMPANY,Â 3033 CAMPUS
DRIVE, SUITE E490

(Street)

PLYMOUTH,Â MNÂ 55441

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

01/31/2018

3. Issuer Name and Ticker or Trading Symbol
MOSAIC CO [MOS]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Sr VP -Finance and Interim CFO

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

41,346

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	Â <u>(1)</u>	07/31/2018	Common Stock	2,195	\$ 127.21	D	Â
Stock Option (Right to Buy)	Â <u>(1)</u>	07/27/2019	Common Stock	5,258	\$ 52.72	D	Â
Stock Option (Right to Buy)	Â <u>(1)</u>	07/27/2020	Common Stock	5,105	\$ 44.93	D	Â
Stock Option (Right to Buy)	Â <u>(1)</u>	07/21/2021	Common Stock	4,307	\$ 70.62	D	Â
Stock Option (Right to Buy)	Â <u>(1)</u>	07/19/2022	Common Stock	7,284	\$ 57.62	D	Â
Stock Option (Right to Buy)	Â <u>(1)</u>	07/18/2023	Common Stock	9,470	\$ 54.03	D	Â
Stock Option (Right to Buy)	Â <u>(1)</u>	03/07/2024	Common Stock	10,644	\$ 49.73	D	Â
Stock Option (Right to Buy)	Â <u>(2)</u>	03/05/2025	Common Stock	11,658	\$ 50.43	D	Â
Stock Option (Right to Buy)	Â <u>(3)</u>	03/03/2026	Common Stock	24,890	\$ 28.49	D	Â
Restricted Stock Units	03/02/2020	Â <u>(4)</u>	Common Stock	10,958	\$ 0 <u>(5)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BRAUSEN ANTHONY T C/O THE MOSAIC COMPANY 3033 CAMPUS DRIVE, SUITE E490 PLYMOUTH, MN 55441	Â	Â	Â Sr VP -Finance and Interim CFO	Â

Signatures

/s/ Mark J. Isaacson, Attorney-in-Fact for Anthony T. Brausen

02/05/2018

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This Stock Option is 100% exercisable.

(2)

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Grant Date 03/05/2015; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.

- (3) Grant Date 03/03/2016; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (4) Not Applicable
- (5) One-for-One

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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