### ISSUER DIRECT CORP

Form 4

November 22, 2013

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

3235-0287 Number:

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Michael James

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First) (Middle) ISSUER DIRECT CORP [ISDR]

(Check all applicable)

**500 PERIMETER PARK** 

3. Date of Earliest Transaction

(Month/Day/Year) 11/22/2013

Director X 10% Owner Other (specify Officer (give title below)

DRIVE, SUITE D

4. If Amendment, Date Original (Street)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

MORRISVILLE, NC 27560

(City)	(State)	Zip) Table	e I - Non-D	erivative	Securi	ities Acq	quired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	08/07/2013	08/07/2013	S	3,500 (1)	D	\$ 7.07	283,250	D	
Common Stock	08/07/2013	10/24/2013	S	4,500 (1)	D	\$ 7.3	278,750	D	
Common Stock	08/07/2013	11/04/2013	S	2,000 (1)	D	\$ 10	276,250	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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### Edgar Filing: ISSUER DIRECT CORP - Form 4

#### number.

8. Pr Deri Secu (Inst

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exercis		7. Title and A		8
Derivative Security	Conversion or Exercise	(Month/Day/Year)	Execution Date, if any	Transact Code	of of	Expiration Dat (Month/Day/Y		Underlying S (Instr. 3 and		I
(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)		e`	/		.,	(
				Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Common Stock Options	<u>(2)</u>					06/30/2012	05/24/2021	Common Stock	4,500	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>Fg</b>	Director	10% Owner	Officer	Other		
Michael James 500 PERIMETER PARK DRIVE SUITE D MORRISVILLE, NC 27560		X				

## **Signatures**

/s/ James
Michael 11/22/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to Rule 10b5-1, adopted by the reporting person on August 7, 2013.
- (2) Options to purchase common stock were fully vested as of June 30, 2012, and have exercise prices between \$1.87 and \$2.31 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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