Boyle Hugh F Form 5 February 14, 2019

#### **OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Estimated average Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Boyle Hugh F Symbol BANC OF CALIFORNIA, INC. (Check all applicable) [BANC] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) below)

C/O BANC OF CALIFORNIA, INC., Â 3 MACARTHUR PLACE

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

12/31/2018

6. Individual or Joint/Group Reporting

(check applicable line)

CHIEF RISK OFFICER

#### SANTA ANA, CAÂ 92707

(State)

(Zin)

(City)

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting

(City)	(State)	(Zip) Ta	ble I - Non-De	erivative Secu	rities	Acquired	l, Disposed of, or	Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities or Disposed (Instr. 3, 4 ar	of (D)		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/02/2018	Â	A <u>(1)</u>	292.6347	A	\$ 20.03	92,151.6696	D	Â
Common Stock	04/02/2018	Â	A(1)	315.1359	A	\$ 18.72	92,151.6696	D	Â
Common Stock	07/02/2018	Â	A <u>(1)</u>	460.2025	A	\$ 18.96	92,151.6696	D	Â
Common Stock	10/01/2018	Â	A <u>(1)</u>	479.2931	A	\$ 18.33	92,151.6696	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivati Securitic Acquire (A) or Dispose of (D) (Instr. 3, 4, and 5)	Expiration Day (Month/Day/Y ve es d	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 13.83	Â	Â	Â	Â	(2)	09/30/2023	Common Stock	25,000	
Stock Option	\$ 13.29	Â	Â	Â	Â	04/01/2016	05/15/2025	Common Stock	48,000	

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### **Reporting Owners**

Reporting Owner Name / Address	Keiauonsnips						
	Director	10% Owner	Officer	Othe			
Boyle Hugh F							
C/O BANC OF CALIFORNIA, INC.	â	Â	CHIEF RISK OFFICER	â			
3 MACARTHUR PLACE	А	A	A CHIEF KISK OFFICER	A			
SANTA ANA, CA 92707							

## **Signatures**

/s/ John Bogler,
Attorney-in-Fact

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were acquired pursuant to the Issuer's Dividend Reinvestment Plan.
- (2) The stock option vests 20% annually, beginning on the one year anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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