

Hale Mark J.
Form 4
January 03, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Hale Mark J.

2. Issuer Name **and** Ticker or Trading
Symbol

FACTSET RESEARCH SYSTEMS
INC [FDS]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

601 MERRITT 7

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
12/31/2017

____ Director ____ 10% Owner
____X____ Officer (give title ____ Other (specify
below) below)

Chief Operating Officer

NORWALK, CT 06851

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	V	Amount (A) or (D)	Price			
FactSet Common Stock	01/02/2018		F		499 ⁽¹⁾	D	\$ 191.63	2,717	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
displays a currently valid OMB control
number.**

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Hale Mark J. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Security (Instr. 3 and 4)	8. Amount of Underlying Security
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount of Underlying Security
Non-Qualified Stock Option (right to buy)	\$ 131.31	12/31/2017 ⁽²⁾		J	2,018 (2)	(2)	12/31/2017 ⁽²⁾	FactSet Common Stock	2
Non-Qualified Stock Option (right to buy)	\$ 175.2	12/31/2017 ⁽²⁾		J	10,199 (2)	(2)	12/31/2017 ⁽²⁾	FactSet Common Stock	1
Non-Qualified Stock Option (right to buy)	\$ 175.2	12/31/2017 ⁽²⁾		J	3,400 (2)	(2)	12/31/2017 ⁽²⁾	FactSet Common Stock	3
Non-Qualified Stock Option (right to buy)	\$ 152.28	12/31/2017 ⁽²⁾		J	5,323 (2)	(2)	12/31/2017 ⁽²⁾	FactSet Common Stock	5
Non-Qualified Stock Option (right to buy)	\$ 189.98	12/31/2017 ⁽²⁾		J	7,251 (2)	(2)	12/31/2017 ⁽²⁾	FactSet Common Stock	7

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Hale Mark J. 601 MERRITT 7 NORWALK, CT 06851	Chief Operating Officer

Signatures

/s/ Mark J. Hale 01/03/2018

Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the number of shares withheld to cover the cost of taxes upon vesting of restricted stock previously granted on November 1, 2013.
- (2) On November 14, 2017, FactSet Research Systems Inc. ("FactSet" or the "Company") announced that Mark J. Hale will separate from his position as Executive Vice President, Chief Operating Officer, effective on December 31, 2017. This represents options held by Mr. Hale

Edgar Filing: Hale Mark J. - Form 4

at the time of termination, which expired upon his departure on December 31, 2017 and subsequently resulted in a pre-vesting forfeiture.

(3) Column 8 has been intentionally left blank because the transaction was a forfeiture of a derivative security.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.