

Larson Betty D
Form 3
July 09, 2018

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Larson Betty D

(Last) (First) (Middle)

C/O BECTON, DICKINSON
AND COMPANY, Â 1 BECTON
DRIVE

(Street)

FRANKLIN
LAKES, Â NJ Â 07417

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

07/01/2018

3. Issuer Name **and** Ticker or Trading Symbol
BECTON DICKINSON & CO [BDX]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer ____ Other
(give title below) (specify below)
EVP HR & CHRO

6. Individual or Joint/Group Filing(Check Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

8,895 ⁽¹⁾

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Appreciation Rights	09/02/2015 ⁽²⁾	09/02/2024	Common Stock	3,093	\$ 97.14	D	Â
Stock Appreciation Rights	12/10/2015 ⁽³⁾	12/10/2024	Common Stock	3,613	\$ 110.04	D	Â
Stock Appreciation Rights	12/09/2016 ⁽⁴⁾	12/09/2025	Common Stock	7,076	\$ 121.49	D	Â
Stock Appreciation Rights	12/14/2017 ⁽⁵⁾	12/14/2026	Common Stock	9,377	\$ 143.07	D	Â
Stock Appreciation Rights	01/02/2019 ⁽⁶⁾	01/02/2028	Common Stock	10,245	\$ 217.84	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Larson Betty D C/O BECTON, DICKINSON AND COMPANY 1 BECTON DRIVE FRANKLIN LAKES, NJ 07417	Â	Â	Â EVP HR & CHRO	Â

Signatures

Richard Stout, by power of attorney for Betty D. Larson 07/09/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes restricted stock units awarded under the Becton, Dickinson and Company 2004 Employee and Director Equity-Based Compensation Plan.
- (2) The stock appreciation rights vest in four annual installments beginning September 2, 2015.
- (3) The stock appreciation rights vest in four annual installments beginning December 10, 2015.
- (4) The stock appreciation rights vest in four annual installments beginning December 9, 2016.
- (5) The stock appreciation rights vest in four annual installments beginning December 14, 2017.
- (6) The stock appreciation rights vest in four annual installments beginning January 2, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.