#### Edgar Filing: Dufetel Celine S - Form 4

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| Form 4   |  |   |   |  |                |        |   |   |   |   |  |
|--|--|---|---|--|----------------|--------|---|---|---|---|--|
| March 04, 20   |  | Washington, D.C. 20549<br>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES |   |  |                |        |   |   | OMB APPROVAL<br>OMB 3235-0287<br>Number:                                |   |  |
| Check th<br>if no long<br>subject to<br>Section 1<br>Form 4 o<br>Form 5<br>obligatio<br>may cont<br><i>See</i> Instru<br>1(b). | ger<br>5<br>16.<br>5<br>5<br>5<br>5<br>5<br>5<br>5<br>5<br>5<br>5<br>5<br>5<br>5 |   |   |  |                |        |   |   | Expires:<br>Estimated a<br>burden hour<br>response                      | •   |  |
| (Print or Type I   | Responses)   |   |   |  |                |        |   |   |   |   |  |
| Dufetel Celine S Symbol  |  |   |   | er Name <b>and</b> Ticker or Trading<br>T ROWE GROUP INC<br>V] |                |        |   | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)   |   |   |  |
|  |  |   |   | e of Earliest Transaction<br>n/Day/Year)<br>/2019              |                |        |   | Director       10% Owner         Officer (give title       Other (specify below)         below)       below)         CFO & Vice President |   |   |  |
|  |  |   | nendment, Date Original<br>onth/Day/Year) |  |                |        | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person |   |   |   |  |
| BALTIMO  | RE, MD 21202   |   |   |  |                |        |   | Form filed by M<br>Person   | ore than One Rej  | porting   |  |
| (City)   | (State)  | (Zip)   | Tab                                       | le I - Non-E   | Derivative     | Secur  | ities Acqu  | iired, Disposed of,   | or Beneficiall  | y Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Dat<br>(Month/Day/Year)   | Execution<br>any  | n Date, if                                | 3.<br>Transactic<br>Code<br>(Instr. 8)<br>Code V               | (Instr. 3,     | sposed | d of (D)  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)                        | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock  | 02/28/2019   |   |   | F  | 427 <u>(1)</u> | D      | \$<br>100.43  | 3,994   | D   |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.<br>onNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | Under<br>Secur | unt of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|---|---------------------|--------------------|----------------|--|---|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title          | Amount<br>or<br>Number<br>of<br>Shares |   |  |

### **Reporting Owners**

| <b>Reporting Owner Name / Address</b>   | Relationships |           |                            |       |  |  |  |
|---|---------------|-----------|----------------------------|-------|--|--|--|
|   | Director      | 10% Owner | Officer                    | Other |  |  |  |
| Dufetel Celine S<br>T. ROWE PRICE GROUP, INC.<br>100 E. PRATT STREET<br>BALTIMORE, MD 21202 |               |           | CFO &<br>Vice<br>President |       |  |  |  |
| Ciamotura   |               |           |                            |       |  |  |  |

## Signatures

/s/ Celine S Dufetel 03/04/2019

<u>\*\*</u>Signature of Reporting Person Date

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These shares were withheld to pay for taxes on Performance Stock Units that vested on 2/28/2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.