

WSFS FINANCIAL CORP
Form 4
June 02, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Geraghty Paul D

(Last) (First) (Middle)

C/O WSFS FINANCIAL CORPORATION, 500 DELAWARE AVENUE

(Street)

WILMINGTON, DE 19801

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
WSFS FINANCIAL CORP [WSFS]

3. Date of Earliest Transaction (Month/Day/Year)
03/12/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
EVP

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|-------------------------------|---|--|---|
| | | | | Code | V Amount or (D) Price | | | |
| Common Stock | 03/12/2015 | | M | | (3) 10,902 A \$ 16.51 (3) | 19,989 (3) | D | |
| Common Stock | 03/12/2015 | | S | | (3) 10,902 D \$ 25.51 (1) (3) | 9,087 (3) | D | |
| Common Stock | 03/13/2015 | | M | | (3) 10,098 A \$ 16.51 (3) | 19,185 (3) | D | |
| Common | 03/13/2015 | | S | | 10,098 D \$ | 9,087 (3) | D | |

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| | | | | | | | | | |
|--------------|------------|--|---|-------|---|------------|-----------------------|-------------------|---------|
| Stock | | | | | | <u>(3)</u> | 25.33 | | |
| | | | | | | | <u>(2)</u> <u>(3)</u> | | |
| Common Stock | 05/29/2015 | | M | 5,400 | A | | \$ 13.63 | 14,487 <u>(3)</u> | D |
| Common Stock | | | | | | | | 5,376 <u>(3)</u> | I 401-K |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Employee Stock Options | \$ 16.51 <u>(3)</u> | 03/12/2015 | | M | 10,902 <u>(3)</u> | 02/28/2015 02/28/2020 | Common Stock 10,902 <u>(3)</u> |
| Employee Stock Options | \$ 16.51 <u>(3)</u> | 03/13/2015 | | M | 10,098 <u>(3)</u> | 02/28/2015 02/28/2020 | Common Stock 10,098 <u>(3)</u> |
| Employee Stock Options | \$ 13.63 | 05/29/2015 | | M | 5,400 | 02/23/2015 02/23/2017 | Common Stock 5,400 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Geraghty Paul D
C/O WSFS FINANCIAL CORPORATION
500 DELAWARE AVENUE
WILMINGTON, DE 19801

EVP

Signatures

/s/ Paul D. Geraghty by Charles Mosher, Power of Attorney

06/02/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$25.50 to \$25.59, inclusive.
- (1) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
 - Represents a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$25.33 to \$25.34, inclusive.
- (2) For all transactions reported on this Form 4 utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- On March 17, 2015, WSFS Financial Corporation declared a stock dividend, payable to all holders of record of common stock on May 4, 2015, of two shares of common stock for each share of common stock issued and outstanding. The effective date of the stock dividend was May 19, 2015. All transactions and related amounts reported herein have been adjusted to reflect the stock dividend.
- (3) 2015, of two shares of common stock for each share of common stock issued and outstanding. The effective date of the stock dividend was May 19, 2015. All transactions and related amounts reported herein have been adjusted to reflect the stock dividend.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.