Horlock Phil Form 4 December 12, 2017

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Washington, D.C. 20549 Number:

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

(Street)

(State)

Horlock Phil

(Last)

2. Issuer Name and Ticker or Trading

Symbol

Blue Bird Corp [BLBD]

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year) 12/11/2017

(Check all applicable)

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

10% Owner

Other (specify

Expires:

response...

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

C/O BLUE BIRD CORPORATION, 402 BLUE BIRD

**BOULEVARD** 

(City)

4. If Amendment, Date Original

Filed(Month/Day/Year)

below) President and CEO

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_\_ Director

X\_ Officer (give title

Issuer

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

FORT VALLEY, GA 31030

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

4. Securities Acquired 5. Amount of 3. Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8)

Securities Beneficially Owned Following Reported

Transaction(s)

(Instr. 3 and 4)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

or Price Code V Amount (D)

(A)

Common

\$0.0001 per share

Stock, par value 12/11/2017

58,011 A (1)(2)

\$0 261,761 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

### Edgar Filing: Horlock Phil - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orNumber	Expiration D	ate	Amount	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	ivative		Securitie	es	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								^	mount		
									mount		
						Date	Expiration	Title N			
						Exercisable	Date	Title Number of			
				C + V	(A) (D)						
				Code V	(A) (D)			S	hares		

## **Reporting Owners**

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other

Horlock Phil C/O BLUE BIRD CORPORATION 402 BLUE BIRD BOULEVARD FORT VALLEY, GA 31030

X

President and CEO

Relationships

### **Signatures**

/s/ Paul Yousif as 12/12/2017 attorney-in-fact

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The award represents a grant of restricted stock units ("RSUs"). Each RSU represents a contingent right to receive one share of the **(1)** common stock, par value \$0.0001 (the "Common Stock"), of Blue Bird Corporation (the "Company").
- The RSU's vest 33.3% on December 11, 2018, 33.3% on December 11, 2019 and 33.4% on December 11, 2020 subject to downward adjustments consistent with each respective fiscal year's actual percentage payout of the Management Performance bonus award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2