Winge John J. Form 4 January 03, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

SeaSpine Holdings Corp [SPNE]

3. Date of Earliest Transaction

(Month/Day/Year)

12/31/2018

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* Winge John J.

> (First) (Middle)

C/O SEASPINE HOLDINGS CORPORATION, 5770 ARMADA DR

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

Symbol

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

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**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify below) Vice President, Sales

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### CARLSBAD, CA 92008

(City)	(State)	(Zip) Tabl	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned						
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit on(A) or Di (Instr. 3,	sposed 4 and : (A) or	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/31/2018		J <u>(1)</u> V	1,544	A	\$ 6.426 (2)	32,646	D	
Common Stock	01/01/2019		M	14,359	A	(3)	47,005	D	
Common Stock	01/01/2019		M	10,958	A	<u>(3)</u>	57,963	D	
Common Stock	01/01/2019		F	8,038 (4)	D	\$ 18.24	49,925	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of cionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Restricted Stock Units	(3)	01/01/2019		M		14,359	(5)	<u>(5)</u>	Common Stock	14,3
Restricted Stock Units	<u>(3)</u>	01/01/2019		M		10,958	<u>(6)</u>	<u>(6)</u>	Common Stock	10,9
Restricted Stock Units	<u>(3)</u>	01/01/2019		A	9,336		<u>(7)</u>	<u>(7)</u>	Common Stock	9,3
Employee Stock Option (Right to Buy)	\$ 18.24	01/01/2019		A	31,118		(8)	01/01/2027	Common Stock	31,

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reporting 0 mag 1 mino, 1 minos	Director	10% Owner	Officer	Other		
Winge John J. C/O SEASPINE HOLDINGS CORPORATION 5770 ARMADA DR CARLSBAD, CA 92008			Vice President, Sales			
Signatures						
/s/Paul Benny, Attorney-in-Fact for John J. Winge	01/03/	2019				
**Signature of Reporting Person	Date	e				

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# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were acquired under the issuer's 2015 Employee Stock Purchase Plan (ESPP) in transactions that were exempt under Rule 16b-3(c).
- (2) In accordance with the ESPP, these shares were purchased based on 85% of the closing price of the issuer's common stock on January 3, 2017, which was the first trading day of the applicable offering period.
- (3) Each restricted stock unit represents a contingent right to receive one share of the issuer's common stock.
- (4) These shares were withheld by the issuer to satisfy statutory tax withholding requirements on vesting of restricted stock units that occurred on January 1, 2019. No shares were sold in connection with this transaction.
- (5) The reporting person was granted 43,077 restricted stock units on February 2, 2017, which vest in three equal installments on each of January 1, 2018, 2019 and 2020.
- (6) The reporting person was granted 32,876 restricted stock units on March 5, 2018, which vest in three equal installments on each of January 1, 2019, 2020 and 2021.
- (7) The restricted stock units vest in three equal installments on each of January 1, 2020, 2021 and 2022.
- (8) The option vests as to 25% of the underlying shares on January 1, 2020 and the remaining 75% in twelve substantially equal quarterly installments thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.