Lamm Jacob Form 4/A May 22, 2013

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington D.C. 20549

Washington, D.C. 20549

# Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. *See* Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>\*</u> Lamm Jacob

(First)

(Street)

2. Issuer Name **and** Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

CA, INC. [CA]

C1 1, 11

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 05/13/2013

\_\_\_ Director \_\_\_\_\_ 10% Owner

(Check all applicable)

EVP, STRATEGY & CORPORATE DEV

\_\_X\_\_ Officer (give title \_\_\_\_ Other (specify below)

ONE CA PLAZA

(Last)

4. If Amendment, Date Original

Filed(Month/Day/Year) 05/15/2013

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_Form filed by One Reporting Person \_\_\_\_ Form filed by More than One Reporting

Person

ISLANDIA, NY 11749

		Person							
(City)	(State)	(Zip) Table	e I - Non-E	Derivative S	Securi	ities Acqu	iired, Disposed of	f, or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	on Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)			of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock, \$.10 par value	05/14/2013		M	28,286 (1)	A	\$ 21.77	81,766	D	
Common Stock, \$.10 par value	05/14/2013		M	10,000 (1)	A	\$ 24.28	91,766	D	
Common Stock, \$.10 par value	05/14/2013		M	16,040 (1)	A	\$ 19.46	107,806	D	
Common Stock, \$.10	05/14/2013		M	8,430 (1)	A	\$ 21.78	116,236	D	

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par value

Common Stock, \$.10 05/14/2013 par value	S	77,225 (1)	D	\$ 27.15 (2) (3)	39,011	D	
Common Stock, \$.10					163.519	I	401(k) Plan (4)
nar value							

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Employee Stock Option (right to buy)	\$ 26.98	05/13/2013		A	62,379		<u>(5)</u>	05/13/2023	Common Stock, \$.10 par value	62,3
Employee Stock Option (right to buy)	\$ 21.77	05/14/2013		M		28,286 (1)	<u>(6)</u>	08/02/2016	Common Stock, \$.10 par value	28,1
Employee Stock Option (right to buy)	\$ 24.28	05/14/2013		M		10,000 (1)	<u>(7)</u>	09/14/2016	Common Stock, \$.10 par value	10,0
Employee Stock Option (right to buy)	\$ 19.46	05/14/2013		M		16,040 (1)	<u>(8)</u>	06/25/2017	Common Stock, \$.10 par value	16,0
	\$ 21.78	05/14/2013		M			<u>(9)</u>	06/14/2018		8,4

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Employee 8,430 Common Stock
Stock 1 Stock,
Option \$.10 par (right to buy)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Lamm Jacob ONE CA PLAZA ISLANDIA, NY 11749

EVP, STRATEGY & CORPORATE DEV

# **Signatures**

/s/ Jacob Lamm by Brandt Schmidt as attorney-in-fact

05/22/2013 Date

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported on this line were effected pursuant to a Rule 10b5-1 trading plan.
- (2) Indicates the weighted average sale price of sales effected on the transaction date. Sale prices ranged from \$26.970 to \$27.275.
- (3) The undersigned will provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (4) Shares held in the CA Savings Harvest Plan, a 401(k) Plan. Information presented as of May 7, 2013.
- (5) This option becomes exercisable over three years as follows: 34% on May 13, 2014, 33% on May 13, 2015 and 33% on May 13, 2016.
- (6) This option became exercisable over a three-year period as follows: 34% on August 2, 2007, 33% on August 2, 2008 and 33% on August 2, 2009.
- (7) This option became exercisable over a three-year period as follows: 34% on September 14, 2007, 33% on September 14, 2008 and 33% on September 14, 2009.
- (8) This option becomes exercisable over a three-year period as follows: 34% on June 25, 2011, 33% on June 25, 2012 and 33% on June 25, 2013.
- (9) This option becomes exercisable over a three-year period as follows: 34% on June 14, 2012, 33% on June 14, 2013 and 33% on June 14, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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