Edgar Filing: ASKREN STANLEY A - Form 4

ASKREN S Form 4 December	5TANLEY A 15, 2017											
FOR	ЛЛ									OMB A	PPROVAL	
	UNITED	STATES				ND EX D.C. 20		NGE C	COMMISSION	OMB Number:	3235-0287	
	this box			8	-)					Expires:	January 31,	
Subject to Section 16. Form 4 or			OF CHANGES IN BENEFICIAL OWN SECURITIES Section 16(a) of the Securities Exchange							Estimated average burden hours per response 0.		
obligati may co <i>See</i> Ins 1(b).	ions Section 17	(a) of the P	ublic I	Utility H	Holo		npan	y Act of	1935 or Sectior	1		
(Print or Type	e Responses)											
1. Name and Address of Reporting Person <u>*</u> ASKREN STANLEY A			8						5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)			L.		-			(Check	all applicable)		
600 EAST SECOND STREET			3. Date of Earliest Transaction (Month/Day/Year) 12/13/2017						_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) Chairman, President & CEO			
	4. If Amendment, Date Original Filed(Month/Day/Year)						 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 					
MUSCAT	INE, IA 52761								Person		· · · · · · · · · · · · · · · · · · ·	
(City)	(State)	(Zip)	Ta	ble I - No	on-D	Derivative	Secur	ities Acq	uired, Disposed of	or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution D any (Month/Day,	Code (Instr. 3, 4 and 5)				d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	Amount	or (D)	Price	(Instr. 3 and 4)	(11150.4)		
Common Stock	12/13/2017			M	·	4,756	A	\$ 10.36	224,994.2604	D		
Common Stock	12/13/2017			S <u>(1)(2)</u>		3,025	D	\$ 34.9	221,969.2604	D		
Common Stock									10,063.71	Ι	Profit Sharing Retirement Plan	
Common Stock									657	Ι	By Son (3)	
									657	Ι	By Son (3)	

Edgar Filing: ASKREN STANLEY A - Form 4

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Nur of Sha
Non-qualifying employee stock option (right to buy)	\$ 10.36	12/13/2017		М	4,756	02/23/2013	02/23/2019	Common Stock	4,

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
ASKREN STANLEY A 600 EAST SECOND STREET MUSCATINE, IA 52761	Х		Chairman, President & CEO				
Signatures							
/-/I-1'- A1	. f						

/s/Julie Abramowski, By Power of	
Attorney	12/15/2017
**Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Reflects the sale to cover exercise price of, and taxes related to, cashless exercise of stock options.

(2)

Edgar Filing: ASKREN STANLEY A - Form 4

The sale was effected pursuant to a Rule 10b5-1 of the Securities and Exchange Act of 1934, as amended, and HNI's policies regarding stock transactions, including its insider trading policy.

(3) Reporting person disclaims beneficial ownership of these shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.