May Phillip R Jr Form 4 December 06, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* May Phillip R Jr

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

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response...

Estimated average

burden hours per

(Middle)

ENTERGY CORP /DE/ [ETR]

3. Date of Earliest Transaction

(Check all applicable)

C/O ENTERGY CORPORATION

(First)

(Month/Day/Year) 12/04/2018

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below)

"Officer" Under Sec. 16 Rules

LEGAL DEPARTMENT, 639 LOYOLA AVENUE, 26TH FLOOR

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW ORLEANS, LA 70113

(City)	(State)	(Zip) Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
			Code V	Amount	or	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	12/04/2018		M	2,300	A	\$ 71.3	14,240	D	
Common Stock	12/04/2018		S <u>(1)</u>	2,300	D	\$ 89	11,940	D	
Common Stock	12/04/2018		M	2,000	A	\$ 64.6	13,940	D	
Common Stock	12/04/2018		S <u>(1)</u>	2,000	D	\$ 88	11,940	D	
Common Stock	12/04/2018		M	3,000	A	\$ 63.17	14,940	D	

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Common Stock	12/04/2018	S(1)	3,000	D	\$ 89	11,940	D	
Common Stock	12/04/2018	M	3,000	A	\$ 70.53	14,940	D	
Common Stock	12/04/2018	S <u>(1)</u>	3,000	D	\$ 88	11,940	D	
Common Stock						6,755	I	By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 71.3	12/04/2018		M	2,300	(2)	(2)	Common Stock	2,300
Employee Stock Option (Right to Buy)	\$ 64.6	12/04/2018		M	2,000	(3)	01/31/2023	Common Stock	2,000
Employee Stock Option (Right to Buy)	\$ 63.17	12/04/2018		M	3,000	<u>(4)</u>	01/30/2024	Common Stock	3,000
Employee Stock	\$ 70.53	12/04/2018		M	3,000	<u>(5)</u>	01/26/2027	Common Stock	3,000

Option (Right to Buy)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

May Phillip R Jr C/O ENTERGY CORPORATION LEGAL DEPARTMENT 639 LOYOLA AVENUE, 26TH FLOOR NEW ORLEANS, LA 70113

"Officer" Under Sec. 16 Rules

## **Signatures**

/s/ Daniel T. Falstad by power of attorney

12/06/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 5, 2018.
- (2) The options vested in three equal annual installments on January 26, 2013, 2014 and 2015.
- (3) The options vested in three equal annual installments on January 31, 2014, 2015 and 2016.
- (4) The options vested in three equal annual installments on January 30, 2015, 2016 and 2017.
- (5) The options vested on January 26, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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