

SVB FINANCIAL GROUP
Form 8-K
October 27, 2017

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934
Date of Report (Date of earliest event reported): October 27, 2017

SVB Financial Group
(Exact name of registrant as specified in its charter)

Delaware 000-15637 91-1962278
(State or other jurisdiction (Commission (I.R.S. Employer
of incorporation) File Number) Identification No.)
3003 Tasman Drive, Santa Clara, CA 95054-1191
(Address of principal executive offices) (Zip Code)
Registrant's telephone number, including area code: (408) 654-7400

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

☐

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.142-12)

☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter). Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

Item 7.01. Regulation FD Disclosure.

On October 27, 2017, SVB Financial Group (the “Company”) announced the release of its company-run capital stress test results, as required by the Dodd-Frank Wall Street Reform and Consumer Protection Act (“Dodd-Frank Act”) and the regulations implemented by the Federal Reserve Board (“Federal Reserve”) thereunder. The results are for both the Company and its principal banking subsidiary, Silicon Valley Bank (the “Bank”).

Pursuant to applicable requirements, the results are based on a scenario with hypothetical severely adverse macroeconomic assumptions prescribed by the Federal Reserve. The scenario is not a forecast of economic conditions and the results should not be viewed or relied upon as forecasts of actual financial results for the Company or the Bank.

A copy of the summary of results is attached hereto as Exhibit 99.1 and is incorporated herein by reference. The information contained in this report and in the exhibit hereto is intended to be “furnished” and shall not be deemed to be “filed” for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to the liabilities of that section.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits

Exhibit No.	Description
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<u>99.1</u>	<u>Summary of Dodd-Frank Act Stress Test Results Under Supervisory Severely Adverse Scenario</u>
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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: October 27, 2017 SVB FINANCIAL GROUP

By: /s/ KAMRAN HUSAIN

Name: Kamran Husain

Title: Chief Accounting Officer and Principal Accounting Officer