

Edgar Filing: ASHLAND INC - Form 4

ASHLAND INC
Form 4
January 10, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.
Form 4 or Form 5 obligations may continue. See Instructions 1(b).
1. Name and Address of Reporting Person

Gomory, Ralph E.

Alfred P. Sloan Foundation
630 Fifth Ave., Suite 2550
New York, New York 10111-0242

2. Issuer Name and Ticker or Trading Symbol

Ashland Inc.

ASH

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

December 31, 2000

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

(X) Director () 10% Owner () Officer (give title below) () Other
(specify below)

7. Individual or Joint/Group Filing (Check Applicable Line)

(X) Form filed by One Reporting Person
() Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Transaction Code | 4. Securities Acquired (A) or Disposed of (D) Amount | 5. Amount of Securities Beneficially Owned at End of Month | 6. Price |
|----------------------|---------------------|---------------------|---|--|----------|
| Common Stock | 12-29-00 | J(1) | 390 | A | \$35.89 |
| Common Stock | 12-29-00 | M(4) | 12,844 | A | 23,957 |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative | 3. Transaction Date | 4. Transaction Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities | 8. Price of Underlying Securities |
|---------------------------------|---|---------------------|---------------------|--|--|--|-----------------------------------|
| | | | | | | | |

Edgar Filing: ASHLAND INC - Form 4

| | Secu- rity | Date | Code | V | Amount | D ble | cisa- ble | Date | of Shares | |
|------------------------|---------------|----------|------|---|--------|----------|--------------|---------|--------------|--------|
| Option (2) | 37.50 | | | | | | 7-28-94 | 1-28-04 | Common Stock | 1,000 |
| Option (2) | 33.00 | | | | | | 7-27-95 | 1-27-05 | Common Stock | 1,000 |
| Option (2) | 43.125 | | | | | | 7-30-97 | 1-30-07 | Common Stock | 1,000 |
| Option (2) | 52.75 | | | | | | 7-29-98 | 1-29-08 | Common Stock | 1,000 |
| Common Stock Units (3) | 1-for-1 | | J | V | 53 | A | | | Common Stock | 53 |
| Common Stock Units (4) | 1-for-1 | 12-29-00 | M | | 12,844 | D | | | Common Stock | 12,844 |
| | | | | | | | | | | |

Explanation of Responses:

1. Payment of retainer, meeting and committee fees in Ashland stock.
2. Stock options (represents a right to buy Ashland Common Stock) granted pursuant to Ashland Inc.'s Deferred Compensation Plan for Non-Employee Directors.
3. Common Stock Units acquired pursuant to Ashland's Deferred Compensation Plan for Non-Employee Directors, payable in cash or stock upon termination of service as of December 29, 2000 and includes transactions after September 30, 2000 , and exempt under Rule 16b-3.
4. Settlement of Common Stock Units for Ashland Inc. Common Stock.

SIGNATURE OF REPORTING PERSON

Ralph E. Gomory

DATE

January 9, 2001