EMC CORP Form 3/A June 17, 2015

# FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement EMC CORP [EMC] McSweeney Erin (Month/Day/Year) 06/08/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) EMC CORPORATION, Â 176 06/17/2015 (Check all applicable) **SOUTH STREET** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting EVP, Human Resources Person HOPKINTON, MAÂ 01748 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â 81,151 (1) (2) (3) Common Stock D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Title	Derivative	Security:	
			Security	Direct (D)	

#### Edgar Filing: EMC CORP - Form 3/A

Date Expiration Amount or or Indirect
Exercisable Date Number of (I)
Shares (Instr. 5)

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

McSweeney Erin

EMC CORPORATION
176 SOUTH STREET

 Â Â EVP, Human Resources Â

HOPKINTON. MAÂ 01748

# **Signatures**

/s/Barbara E. Coluci, Attorney
In Fact
06/17/2015

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Includes a target number of 26,087 performance restricted stock units granted under the Amended and Restated EMC Corporation 2003 Stock Plan, which units are subject to forfeiture upon certain events. The performance restricted stock units will vest only in the event that
- (1) 2015 performance targets approved by the Leadership and Compensation Committee (the "Committee") have been met. Depending on the level of achievement for each of the performance metrics, a maximum of 150% of the target number of performance restricted stock units may vest. The Committee expects to determine the extent to which the performance targets have been met in 2016, and one-third of the applicable number of units will vest in 2016, one-third will vest on February 1, 2017 and one-third will vest on February 1, 2018.
- Includes 7,649 restricted stock units granted under the Amended and Restated EMC Corporation 2003 Stock Plan, which units are subject to forfeiture upon certain events. The forfeiture restrictions on the units may lapse on or before the fifth anniversary of the date of grant based on achievement of performance targets approved by the Committee.
- (3) This amendment includes the power of attorney which was inadvertently flagged as CORRESP in the original filing.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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