Wheeler Jonathan H. Form 4 August 14, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * Wheeler Jonathan H.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

CRYO CELL INTERNATIONAL

Filed(Month/Day/Year)

3.

(Check all applicable)

INC [CCEL]

(Last) (First) (Middle) 3. Date of Earliest Transaction

10% Owner Other (specify

(Month/Day/Year)

08/14/2018

Officer (give title below)

700 BROOKER CREEK BLVD., **SUITE 1800**

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

X_ Director

X Form filed by One Reporting Person

Form filed by More than One Reporting

OLDSMAR, FL 34677

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8)

4. Securities Acquired 5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

(9-02)

(A)

Reported Transaction(s)

(D) Price

(Instr. 3 and 4)

Common Stock

08/14/2018

Code V Amount M 20,000

55,000

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Wheeler Jonathan H. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
Stock Options	\$ 2.7	08/14/2018		M		20,000	08/30/2011	08/30/2021	Common Stock	20,00 (1)
Common Stock	\$ 2.04						07/10/2012(2)	07/10/2022	Common Stock	7,50
Common Stock	\$ 1.95						07/19/2013(3)	07/19/2023	Common Stock	7,50
Common Stock	\$ 2.4						07/22/2014(4)	07/22/2024	Common Stock	7,50
Common Stock	\$ 3.08						07/09/2015 <u>(5)</u>	07/09/2025	Common Stock	7,50
Stock Options	\$ 3.5						07/06/2016 <u>(6)</u>	07/06/2026	Common Stock	7,50
Stock Options	\$ 7						07/18/2017(7)	07/18/2027	Common Stock	7,50

Reporting Owners

Reporting Owner Name / Address	Relationships					
. 8	Director	10% Owner	Officer	Other		
Wheeler Jonathan H. 700 BROOKER CREEK BLVD., SUITE 1800 OLDSMAR, FL 34677	X					
Clause Lunes						

Signatures

/s/ Jonathan
Wheeler

**Signature of Reporting Person

O8/14/2018

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock options vest at a rate of 1/3 per year commencing on August 30, 2011.
- (2) Stock options vest at a rate of 1/12 per month commencing on July 10, 2012.

Reporting Owners 2

Edgar Filing: Wheeler Jonathan H. - Form 4

- (3) Stock options vest at a rate of 1/3 per year commencing on July 19, 2013.
- (4) Stock options vest at a rate of 1/12 per month commencing on July 22, 2014.
- (5) Stock options vest at a rate of 1/12 per month commencing on July 9, 2015.
- (6) Stock options vest at a rate of 1/12 per month commencing on July 6, 2016.
- (7) Stock options vest at a rate of 1/12 per month commencing on July 18, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.