PORTNOY DAVID

Form 4

February 04, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

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OMB APPROVAL

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading PORTNOY DAVID Symbol CRYO CELL INTERNATIONAL INC [CCEL] (Middle) (Last) (First) 3. Date of Earliest Transaction

X Director 10% Owner Other (specify

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Month/Day/Year) 01/31/2019

_X__ Officer (give title below) Co-Chief Executive Officer

700 BROOKER CREEK BLVD., **SUITE 1800** (Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person _ Form filed by More than One Reporting

Issuer

OLDSMAR, FL 34677

						1 (15011		
(City)	(State)	(Zip) Tab	le I - Non-I	Derivative	Securities A	equired, Disposed	l of, or Benefic	cially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)		(A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock						151,224	I	By Corporation $\underline{(1)}$
Common Stock						58,011	I	By 401K
Common Stock						9,122	I	as Cust for Daughter
Common Stock						9,974	I	as Cust for Son
	01/31/2019		P	1,200	A	579,533	D	

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Common Stock					\$ 6.75			
Common Stock	01/31/2019	P	1,800	A	\$ 6.75	581,333	D	
Common Stock	01/31/2019	P	800	A	\$ 6.74	582,133	D	
Common Stock						578,333	D	
Common Stock						78,864	I	By Spouse
Common Stock						59,027	I	By LLC (2)
Common Stock						199,080	I	By IRA
Common Stock						55,219	I	By Corporation 2 (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. DriNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 1.72					12/01/2011	12/01/2021	Common Stock	200,000
Stock Option	\$ 2.9					08/31/2011	08/31/2021	Common Stock	100,000
Stock Option	\$ 3.14					04/15/2016(4)	04/15/2026	Common Stock	70,270

SEC 1474

(9-02)

Stock Option \$ 7.92 \(\frac{(5)}{\text{Stock}} \) 03/08/2023 \(\frac{\text{Common}}{\text{Stock}} \) 23,636

Reporting Owners

Reporting Owner Name / Address	Relationships					
. 0	Director	10% Owner	Officer	Other		
PORTNOY DAVID			Co-Chief			
700 BROOKER CREEK BLVD., SUITE 1800	X		Executive			
OLDSMAR, FL 34677			Officer			

Signatures

/s/ David,
Portnoy

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Share of Common Stock held by Partner Community, as to which David I. Portnoy may be deemed the beneficial owner as the Chairman (1) of the Board and Secretary and as the managing member of Mayim Management, LLC, which may exercise investment and voting discretion over such shares of Common Stock in accordance with the Investment Advisory Agreement.
- Share of Common Stock held by Mayim Investment Limited Partnership, as to David I. Portnoy may be deemed the beneficial owner as (2) the managing member and owner Mayim Management, LLC, which is the general partner of Mayim Management Limited Partnership, which is the general partner of Mayim Investment Limited Partnership.
- (3) Share of Common Stock held by uTIPu, Inc, as to which David I. Portnoy may be deemed the beneficial owner as the Chairman of the Board and Secretary.
- (4) Stock options vest 1/3 on date of grant, 1/3 December 1, 2016 and 1/3 December 1, 2017.
- (5) Stock options will vest 1/3 on date of grant, 1/3 December 1, 2018 and 1/3 on December 1, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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