

Edgar Filing: BRONFMAN MATTHEW - Form 5

BRONFMAN MATTHEW
Form 5
November 15, 2001

FORM 5

OMB APPROVAL

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// CHECK BOX IF NO
LONGER SUBJECT TO
SECTION 16. FORM
4 OR FORM 5
OBLIGATIONS MAY
CONTINUE. SEE
INSTRUCTION 1(b)
// FORM 3 HOLDINGS
REPORTED
// FORM 4
TRANSACTIONS
REPORTED

U.S. SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, DC 20549

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities
Exchange Act of 1934,
Section 17(a) of the Public Utility
Holding Company Act of 1935 or Section 30(f) of
the Investment Company Act
of 1940

| | | | | | |
|--|------------|----------|---|--|------------------|
| 1. Name and Address of Reporting Person* | | | 2. Issuer Name and Ticker or Trading Symbol | | 6. |
| Bronfman, Matthew | | | Tweeter Home Entertainment Group, Inc. TWTR | | |
| (Last) | (First) | (Middle) | 3. IRS Identification | | 4. Statement for |
| 40 Pequot Way | | | Number of Reporting | | Month/Year |
| | (Street) | | Person, if an Entity | | 9/2001 |
| | Canton, Ma | 02021 | (Voluntary) | | 5. If Amendment, |
| (City) | (State) | (Zip) | | | Date of Original |
| | | | | | (Month/Year) |
| | | | | | 7. I |
| | | | | | (|

TABLE 1 -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL

| 1. Title of Security (Instr. 3) | 2. Trans- action Date (Month/ Day/ Year) | 3. Transac- tion Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount o curities cially O End of I Fiscal Y (Instr. |
|------------------------------------|---|--|---|--|
| | | | Amount (A) or Price (D) | |

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*If the form is filed by more than one Reporting Person, see Instruction 4(b)(v).

FORM 5 (CONTINUED)

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. |
|---|--|---|-----------------------------------|--|---|-----------------|
| | | | | (A) (D) | Date Exercisable Date | Expiration Date |
| OPTION | 12.97 | 9/27/01 | J(1) | 3,500 | 9/27/01 | 9/27/04 |

1. Title of Derivative Security
(Instr. 3)

9. Number of Derivative Securities

10. Ownership of Derivative Security:

11. Nature of Indirect Beneficial

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Beneficially
Owned at End
of Year
(Instr. 4)

Direct (D)
or Indirect (I)
(Instr. 4)

Ownership
(Instr. 4)

111,168

D

Explanation of Responses:

1. The 3,500 option shares granted on 9/27/2001 are fully vested upon issuance and expire on 9/27/2004.

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations.
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/

/s/

**Si

Note. File three copies of this form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are unless the form displays a currently valid OMB number.